#### Section I

# Notice of Development of Proposed Rules and Negotiated Rulemaking

#### DEPARTMENT OF TRANSPORTATION

RULE NO.: RULE TITLE:

14-15.0081 Toll Facilities Description and Toll Rate

Schedule

PURPOSE AND EFFECT: Rule 14-15.0081, F.A.C., is being amended to incorporate the toll rate schedule for two new interchanges on Florida Turnpike's Mainline at SR 417 in Orange County and at Minneola in Lake County. The schedule further adds toll plaza names for the First Coast Expressway, removes a cash option where facilities are converted to allelectronic tolling, and updates the toll schedule.

SUBJECT AREA TO BE ADDRESSED: Rates for toll facilities.

RULEMAKING AUTHORITY: 334.044(2), 338.155(1), 338.231 FS.

LAW IMPLEMENTED: 334.044(16), 338.155, 338.165, 338.222, 338.2216, 338.231 FS.

A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: November 10, 2015, 6:00 p.m.

PLACE: This rule development workshop is being held as a statewide webinar proceeding which can be accessed by registering at the following website: http://www.floridasturnpike.com/ rulemakingnotice2015.cfm. Those persons wishing to participate in person may attend at: Florida's Turnpike Enterprise Headquarters Auditorium, Turnpike Mile Post 263, Building 5315, Ocoee, FL 34761

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 5 days before the workshop/meeting by contacting: Susan Schwartz, Florida Department of Transportation, Office of the General Counsel, 605 Suwannee Street, Mail Station 58, Tallahassee, Florida 32399-0458, (850)414-5392, susan.schwartz@dot.state.fl.us. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Susan Schwartz, Florida Department of Transportation, Office of the General Counsel, 605 Suwannee Street, Mail Station 58, Tallahassee, Florida 32399-0458, (850)414-5392, susan.schwartz@dot.state.fl.us

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

#### AGENCY FOR HEALTH CARE ADMINISTRATION

#### **Health Facility and Agency Licensing**

RULE NOS.: RULE TITLES:

59A-17.125 Administration of Medications to Clients by

Unlicensed Direct Care Service Staff

59A-17.126 Training and Validation Required for

Unlicensed Direct Care Service Staff

PURPOSE AND EFFECT: The 2015 legislative session (Laws of Florida 2015-25) implemented new medication administration requirements and training for unlicensed direct care services staff administering medications in transitional living facilities. The purpose of this rule is to create standards for unlicensed direct care services staff to provide services to clients in transitional living facilities to administer medications. This rule will create standards for medication administration training and validation of the completion of training requirements.

SUBJECT AREA TO BE ADDRESSED: The creation of this rule will provide standards for unlicensed direct care services staff who provide services to clients in transitional living facilities who administer prescribed, prepackaged and premeasured medications after the completion of a training in medication administration and under the supervision of a registered nurse. This rule will create standards for medication administration training and validation of the completion of training requirements.

RULEMAKING AUTHORITY: 400.9977 FS.

LAW IMPLEMENTED: 400.9977 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: Thursday, November 12, 2015, 2:30 p.m. -3:30 p.m.

PLACE: Agency for Health Care Administration, 2727 Mahan Drive, Building 3, Conference Room C, Tallahassee, FL 32308

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Terrosa Buie, Agency for Health Care Administration, 2727 Mahan Drive, MS #33, Tallahassee, FL 32308 or via email: LTCStaff@ahca.myflorida.com

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

# DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

## Division of Florida Condominiums, Timeshares and Mobile Homes

RULE NOS.: RULE TITLES:

61B-45.001 Scope, Organization, Forms, Purpose, and

Title

61B-45.013 Matters Eligible or Ineligible for Arbitration

61B-45.016 Expedited Procedure for Determination of

Jurisdiction

61B-45.017 Initiation of Arbitration Proceedings;

Content of Petition

61B-45.018 Processing of Arbitration Petitions;

Notification to Parties

PURPOSE AND EFFECT: Chapter 2015-175, Laws of Florida, amended Section 718.117(16), Florida Statutes, to require disputes involving condominium termination plans to be submitted to arbitration before the Division, pursuant to Section 718.1255, Florida Statutes. The Division proposes this rule amendment to apply the arbitration procedures in Rule Chapter 45, F.A.C., to arbitration hearings of condominium termination disputes.

SUBJECT AREA TO BE ADDRESSED: Arbitration procedures for condominium termination disputes.

RULEMAKING AUTHORITY: 718.1255, 719.1255 FS.

LAW IMPLEMENTED: 718.117(16), 718.1255, 719.1255

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE REGISTER.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Debbie Miller Pennington, Government Analyst I, Division of Florida Condominiums, Timeshares, and Mobile Homes, 1940 North Monroe Street, Tallahassee, Florida 32399-1030

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

#### DEPARTMENT OF HEALTH

#### **Board of Acupuncture**

RULE NO.: RULE TITLE:

64B1-2.001 Biennial Renewal Fees.

PURPOSE AND EFFECT: To consolidate all fee rules into one; update fees.

SUBJECT AREA TO BE ADDRESSED: All fee rules.

RULEMAKING AUTHORITY: 456.036(3), 457.104,

457.107(1), 457.108(2) FS.

LAW IMPLEMENTED: 456.036(3), 457.107(1), 457.108(2) FS

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN NEXT AVAILABLE FLORIDA ADMINISTRATIVE REGISTER.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE IS: Claudia Kemp, Executive Director, Board of Acupuncture, 4052 Bald Cypress Way, Bin #C06, Tallahassee, Florida 32399-3256, (850)245-4588

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

#### DEPARTMENT OF HEALTH

#### **Board of Acupuncture**

RULE NO.: RULE TITLE:

64B1-3.004 Acupuncture Examination.

PURPOSE AND EFFECT: To update requirements for Acupuncture examination.

SUBJECT AREA TO BE ADDRESSED: Requirements for Acupuncture examination.

RULEMAKING AUTHORITY: 456.017, 457.104, 457.105

LAW IMPLEMENTED: 456.017, 457.105 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN NEXT AVAILABLE FLORIDA ADMINISTRATIVE REGISTER.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE IS: Claudia Kemp, Executive Director, Board of Acupuncture, 4052 Bald Cypress Way, Bin #C06, Tallahassee, Florida 32399-3256, (850)245-4588

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

#### DEPARTMENT OF HEALTH

#### **Board of Acupuncture**

RULE NO.: RULE TITLE:

64B1-7.0025 Reactivation of Retired License.

PURPOSE AND EFFECT: To adopt new rule outlining requirements for reactivation of retired license by partially incorporating Rule 64B1-2.018, F.A.C., which is being repealed.

SUBJECT AREA TO BE ADDRESSED: Reactivation of retired license.

RULEMAKING AUTHORITY: 457.104 FS.

LAW IMPLEMENTED: 456.036(12) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN NEXT AVAILABLE FLORIDA ADMINISTRATIVE REGISTER.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE IS: Claudia Kemp, Executive Director, Board of Acupuncture, 4052 Bald Cypress Way, Bin #C06, Tallahassee, Florida 32399-3256, (850)245-4588

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

#### NAVIGATION DISTRICTS

#### Florida Inland Navigation District

RULE NOS.: RULE TITLES: 66B-1.005 Funds Allocation 66B-1.008 Project Eligibility

PURPOSE AND EFFECT: The purpose of the proposed rule development is to improve the quality and variety of projects submitted.

SUBJECT AREA TO BE ADDRESSED: Cooperative Assistance Program rule sections: policy and project eligibility.

RULEMAKING AUTHORITY: 374.976(2) FS.

LAW IMPLEMENTED: 374.976(1)-(3) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: November 10, 2015, 10:00 a.m.

PLACE: The Florida Inland Navigation District office, 1314 Marcinski Road, Jupiter, Florida

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Janet Zimmerman, Assistant Executive Director, Florida Inland Navigation District, 1314 Marcinski Rd, Jupiter, Florida 33477, Telephone Number: (561)627-3386, Email: JZimmerman@aicw.org

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

#### NAVIGATION DISTRICTS

#### Florida Inland Navigation District

RULE NOS.: RULE TITLES: 66B-2.005 Funds Allocation 66B-2.008 Project Eligibility

PURPOSE AND EFFECT: The purpose of the proposed rule development is to improve the quality and variety of projects submitted.

SUBJECT AREA TO BE ADDRESSED: Waterway Assistance Program rule sections: funds allocation and project eligibility.

RULEMAKING AUTHORITY: 374.976(2) FS.

LAW IMPLEMENTED: 374.976(1)-(3) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: November 10, 2015, 10:00 a.m.

PLACE: The Florida Inland Navigation District office, 1314 Marcinski Road, Jupiter Florida

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Janet Zimmerman, Assistant Executive Director, Florida Inland Navigation District, 1314 Marcinski Rd, Jupiter, Florida 33477, Telephone Number: (561)627-3386, Email: JZimmerman@aicw.org

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

## Section II Proposed Rules

#### DEPARTMENT OF EDUCATION

**State Board of Education** 

RULE NO.: RULE TITLE:

6A-1.094222 Standards for Mid-Year Promotion of

**Retained Third Graders** 

PURPOSE AND EFFECT: The purpose of this rule amendment is to update the rule based on revisions made to Section 1008.25, F.S., House Bill 7069.

SUMMARY: The revised language identifies a department approved list of alternative assessments, clarification of student portfolio requirements and other criteria for promotion after November 1.

SUMMARY OF STATEMENT OF ESTIMATED REGULATORY COSTS AND LEGISLATIVE RATIFICATION: The Agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of

\$200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the Agency.

The Agency has determined that the proposed rule is not expected to require legislative ratification based on the statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: It is not expected that the proposed rule will have any impact on the factors listed in Section 120.541(2), F.S. as the proposal related to the mid-year promotion of third grade students and imposes no additional duties on school districts that would result in additional costs.

Any person who wishes to provide information regarding a statement of estimated regulatory costs, or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 1008.25(9) FS.

LAW IMPLEMENTED: 1008.25(7)(b)3 FS.

A HEARING WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: December 4, 2015, 9:30 a.m.

PLACE: Conference call (The call in information will be posted on the Department's website no later than 14 days prior to the meeting at http://www.fldoe.org/policy/state-board-of-edu/meetings/).

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Laurie Lee, Deputy Director, Just Read, Florida!, 325 West Gaines Street, Suite 1432, Tallahassee, Florida

#### THE FULL TEXT OF THE PROPOSED RULE IS:

6A-1.094222 Standards for Mid-Year Promotion of Retained Third Graders.

Effective with the 2004-2005 school year, district school boards are required to adopt and implement a policy for the mid-year promotion of any student retained in third grade due to a reading deficiency as required by Section 1008.25(5)(b), F.S. Such mid-year promotions of retained third grade students should occur during the first semester of the academic year.

- (1) To be eligible for mid-year promotion, a student must demonstrate that he or she:
- (a) Is a successful and independent reader as demonstrated by reading at or above grade level;
- (b) Has progressed sufficiently to master appropriate fourth grade reading skills; and met any additional requirements, such as satisfactory achievement in other curriculum areas, as determined by the policies of the district school board.

- (c) Has met any additional requirements, such as satisfactory achievement in other curriculum areas, as determined by the policies of the district school board.
- (2) The criteria for students promoted before November 1 must Standards that provide a reasonable expectation that the student has met the requirements of paragraphs (1)(a)-(c) (b) of this rule including the mastery of third grade reading skills as presented in the English Language Arts Florida Standards scope and sequence of the school district's core reading program. Evidence of demonstrated mastery is as follows:
- (a) <u>Satisfactory performance on locally selected standardized assessment(s) measuring English Language Arts Standards as specified Successful completion of portfolio elements that meet state criteria in subsection (4) (3) of this rule; or</u>
- (b) Satisfactory performance on a <u>state approved</u> <u>alternative assessment as delineated in State Board Rule 6A-1.094221; or locally selected standardized assessment measuring English Language Arts Standards as specified in <u>subsection (4) of this rule.</u></u>
- (c) Successful completion of portfolio elements that meet state criteria in subsection (3) of this rule.
- (3) To promote a student mid-year using a student portfolio, as provided for in paragraph (2)(c) (a) of this rule, there must be evidence of the student's mastery of third grade English Language Arts Florida Standards. The student portfolio must meet the following requirements:
  - (a) Be selected by the school district student's teacher;
  - (b) No change.
- (c) Include evidence of mastery of the standards assessed by the grade three 3 Reading FCAT or the statewide-English Language Arts Florida Standards assessment when implemented as required by Rule 6A-1.094221, F.A.C.; and Evidence can include successful completion of multiple choice items and text-based responses, chapter or unit tests from the district or school adopted core reading curriculum, or the state-provided third grade student portfolio. Portfolios should contain 50% literary and 50% informational texts.
  - (d) No change.
- (4) The criteria for students promoted after November 1 must provide a reasonable expectation that the student has met the requirements of sections (1)-(3) of this rule and that the student's progress is sufficient to master appropriate grade 4 level reading skills. These students must demonstrate proficiency levels in reading equivalent to the level necessary for the beginning of grade four. To promote a student midvear based on performance on a locally selected standardized assessment measuring English Language Arts Florida Standards, as provided for in paragraph (2)(b) of this rule, there must be evidence that the student scored at or above 3rd grade level in reading comprehension.

(5) No change.

Rulemaking Authority 1008.25(9) FS. Law Implemented 1008.25(7)(b)3. FS. History–New 12-19-04, Amended 4-21-11, 2-17-15.

NAME OF PERSON ORIGINATING PROPOSED RULE: Hershel Lyons, Chancellor, K-12 Public Schools

NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Pam Stewart, Commissioner, Department of Education

DATE PROPOSED RULE APPROVED BY AGENCY HEAD: October 26, 2015

DATE NOTICE OF PROPOSED RULE DEVELOPMENT PUBLISHED IN FAR: October 22, 2015

#### DEPARTMENT OF EDUCATION

#### **State Board of Education**

RULE NO.: RULE TITLE:

6A-6.0980 K-8 Virtual School Program

PURPOSE AND EFFECT: This rule is to be repealed.

SUMMARY: Section 1002.415, Florida Statutes, was repealed during the 2014 Legislative Session. Rule 6A-6.0980, F.A.C., as its companion rule it should be repealed.

SUMMARY OF STATEMENT OF ESTIMATED REGULATORY COSTS AND LEGISLATIVE RATIFICATION: The Agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of \$200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the Agency.

The Agency has determined that the proposed rule is not expected to require legislative ratification based on the statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: The repeal of this rule will have no regulatory impact as the K-8 Virtual School Program no longer exists.

Any person who wishes to provide information regarding a statement of estimated regulatory costs, or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 1002.415 FS.

LAW IMPLEMENTED: 1002.415 FS.

A HEARING WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: December 4, 2015, 9:30 a.m.

PLACE: Conference call (The call in information will be posted on the Department's website no later than 14 days prior to the meeting at http://www.fldoe.org/policy/state-board-of-edu/meetings/).

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Sandy Eggers, Office of Independent Education and Parental Choice, Florida Department of Education, 325 West Gaines Street, Suite 1044, Tallahassee, Florida 32399-0400

#### THE FULL TEXT OF THE PROPOSED RULE IS:

6A-6.0980 K-8 Virtual School Program

Rulemaking Authority 1002.415(9) FS. Law Implemented 1002.415 FS. History-New 3-1-07, Repealed .

NAME OF PERSON ORIGINATING PROPOSED RULE: Adam Miller, Executive Director, Office of Independent Education and Parental Choice

NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Pam Stewart, Commissioner, Department of Education

DATE PROPOSED RULE APPROVED BY AGENCY HEAD: October 15, 2015

#### DEPARTMENT OF EDUCATION

#### **State Board of Education**

RULE NO.: RULE TITLE:

6A-7.096 Educational Improvement Projects

PURPOSE AND EFFECT: The purpose is to repeal Rule 6A-7.096, F.A.C. Rulemaking authority (Section 229.59, Florida Statutes) no longer exists and the rule should be repealed.

SUMMARY: To repeal a rule with no Rulemaking Authority. OF **SUMMARY** OF **STATEMENT ESTIMATED** REGULATORY COSTS **AND LEGISLATIVE** RATIFICATION: The Agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of \$200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the Agency.

The Agency has determined that the proposed rule is not expected to require legislative ratification based on the statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: this is to repeal a rule not supported or required under current statute and therefore to which no funding is currently attached.

Any person who wishes to provide information regarding a statement of estimated regulatory costs, or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 1001.02 FS.

LAW IMPLEMENTED: 229.59 FS.

A HEARING WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: December 4, 2015, 9:30 a.m.

PLACE: Conference call (The call in information will be posted on the Department's website no later than 14 days prior to the meeting at http://www.fldoe.org/policy/state-board-of-edu/meetings/).

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Mary Jane Tappen, Executive Vice-Chancellor, K-12 Public Schools, 325 West Gaines Street, Suite 1502, Tallahassee, Florida 32399-0400, Mary.Tappen@fldoe.org

#### THE FULL TEXT OF THE PROPOSED RULE IS:

6A-7.096 Educational Improvement Projects.

Rulemaking Authority 120.53(1)(b), 229.053(1), 229.59(1) FS. Law Implemented 229.59 FS. History—New 10-30-78, Amended 11-18-84, Formerly 6A-7.96, Repealed.

NAME OF PERSON ORIGINATING PROPOSED RULE: Hershel Lyons, Chancellor, K-12 Public Schools

NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Pam Stewart, Commissioner, Department of Education

DATE PROPOSED RULE APPROVED BY AGENCY HEAD: October 15, 2015

#### DEPARTMENT OF EDUCATION

#### **State Board of Education**

RULE NO.: RULE TITLE:

6A-7.0712 Local Instructional Improvement Systems PURPOSE AND EFFECT: The purpose is to repeal Rule 6A-7.0712, F.A.C. Section 1006.281, F.S., no longer provides rulemaking authority for this rule.

SUMMARY: Rule 6A-7.0712, F.A.C., required districts as part of the Race to the Top grant to meet the minimum standards of the Local Instructional Improvement System by June 30, 2014. The Local Instructional Improvement System is an integrated informational system that uses electronic and digital tools to provide educators, students and parents with data and resources for educational management.

SUMMARY OF STATEMENT OF ESTIMATED REGULATORY COSTS AND LEGISLATIVE RATIFICATION: The Agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of \$200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the Agency.

The Agency has determined that the proposed rule is not expected to require legislative ratification based on the

statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: The repeal of this rule will not have any impact on transactional costs, regulatory costs or the other factors set forth in Section 120.541(2), Florida Statutes, which are used to determine whether a SERC is needed and whether legislative ratification will be required.

Any person who wishes to provide information regarding a statement of estimated regulatory costs, or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 1006.281 FS.

LAW IMPLEMENTED: 1006.281 FS.

A HEARING WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: December 4, 2015, 9:30 a.m.

PLACE: Conference call (The call in information will be posted on the Department's website no later than 14 days prior to the meeting at http://www.fldoe.org/policy/state-board-of-edu/meetings/).

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Todd Clark, Bureau of Education Information and Accountability Services, Florida Department of Education, 325 West Gaines Street, Suite 544, Tallahassee, Florida 32399-0400, (850)245-0400

#### THE FULL TEXT OF THE PROPOSED RULE IS:

6A-7.0712 Local Instructional Improvement Systems. Rulemaking Authority 1006.281 FS. Law Implemented 1006.281 History–New 12-22-11, Repealed.

NAME OF PERSON ORIGINATING PROPOSED RULE: Juan Copa, Deputy Commissioner, Division of Accountability, Research and Measurement

NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Pam Stewart, Commissioner, Department of Education

DATE PROPOSED RULE APPROVED BY AGENCY HEAD: October 15, 2015

#### DEPARTMENT OF EDUCATION

#### **State Board of Education**

RULE NOS.: RULE TITLES:

6A-20.050 Nursing Student Loan Forgiveness Program

Application

6A-20.051 Nursing Student Loan Forgiveness Program

Renewal

6A-20.052 Nursing Student Loan Forgiveness Program

Payment

6A-20.053 Match Site Facilities Payment Requirement PURPOSE AND EFFECT: This amends the rule to incorporate pertinent information from Rules 6A-20.051, 6A-20.052, and 6A-20.053, F.A.C. into 6A-20.050, F.A.C. and repeal Rules 6A-20.051, 6A-20.052, and 6A-20.053, F.A.C. These changes clarify the requirements to participate in the Nursing Student Loan Forgiveness Program.

SUMMARY: This amendment aligns the rule with the governing statute, section 1009.66, Florida Statutes. The purpose of the Nursing Student Loan Forgiveness Program is to increase employment and retention of registered nurses and licensed practical nurses who are working in eligible health care facilities in Florida. In 2012, the Florida Legislature transferred administrative responsibility for the program to the Florida Department of Education from the Florida Department of Health. Rules 6A-20.051, 6A-20.052, and 6A-20.053, F.A.C. are being proposed for repeal. Much of the content in these rules has been superseded by implementation changes resulting from the transfer of the program to the Florida Department of Education.

SUMMARY OF STATEMENT OF ESTIMATED REGULATORY COSTS AND LEGISLATIVE RATIFICATION: The Agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of \$200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the Agency.

The Agency has determined that the proposed rule is not expected to require legislative ratification based on the statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: No requirement for SERC was triggered under section 120.541(1), F.S., and based on past experiences with rules that affect student financial assistance programs, there will be no impact on small businesses, and the adverse impact or regulatory cost, if any, does not exceed nor would be expected to exceed any one of the economic analysis criteria set forth in section 120.541(2)(a), F.S.

Any person who wishes to provide information regarding a statement of estimated regulatory costs, or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 1009.66(9) FS.

LAW IMPLEMENTED: 1009.66(9) FS.

A HEARING WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: December 4, 2015, 9:30 a.m.

PLACE: Conference call (The call in information will be posted on the Department's website no later than 14 days prior to the meeting at http://www.fldoe.org/policy/state-board-of-edu/meetings/).

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Marlene Norrell, Education Program Director, Finance and Operations/Office of Student Financial Assistance, 325 West Gaines St., Tallahassee, Florida, (850)410-5238

#### THE FULL TEXT OF THE PROPOSED RULE IS:

6A-20.050 <u>Nursing Student Loan Forgiveness Program Application</u>.

- (1) Initial Application. In accordance with the Nursing Student Loan Forgiveness Program Application Package, June 2002, in order Tto qualify apply for enrollment into the Nursing Student Loan Forgiveness Program, the applicants must be a certified nurse performing nursing duties full-time at an eligible facility cited in section 1009.66(1), Florida Statutes submit: a completed Initial Application, DH 1932, 06/02; a completed Employment Verification, DH 1935, 06/02; a completed Loan Principle Certification, DH 1936, 06/02; a photocopy of the applicant's current nursing license; and a photocopy of the applicant's nursing school diploma. The Nursing Student Loan Forgiveness Program Application Package and forms are incorporated by reference and available from the Department of Education, 325 West Gaines Street, Suite 1314, Tallahassee, Florida 32399 0400.
- (2) For a nurse employed by more than one eligible facility, the determination of full-time status is based on the combination of all qualifying employment that exceeds an average of thirty-five (35) hours weekly.
- (3) The applicant must submit a Nursing Student Loan Forgivness Program Application Package (DOS link) consisting of: a completed Nursing Student Loan Forgiveness Program (NSFLP) Initial Application, Form NSLF-1; a completed Nursing Student Loan Forgiveness Program (NSLFP) Employment Verification, Form NSLF-2; a completed Nursing Student Loan Forgiveness Program (NSLFP) Loan Principal Certification, Form NSLF-3; a photocopy of the applicant's current nursing license; and a photocopy of the applicant's nursing school diploma. The Nursing Student Loan Forgiveness Program Application Package is incorporated by reference and available from the Department of Education, 325 West Gaines Street, Suite 1314.

<u>Tallahassee</u>, <u>Florida 32399-0400 and http://www.floridastudentfinancialaid.org/FFELP/Nursing Loan Forgiveness/NursingLoanForgiveness.html.</u>

- (4)(2) The entire application <u>package</u>, including a photocopy of the applicant's current nursing license and a photocopy of the applicant's nursing school diploma, must be received by the deadline date for each quarterly enrollment. Deadline dates are: December 1 for January 1 enrollment; March 1 for April 1 enrollment; June 1 for July 1 enrollment; and September 1 for October 1 enrollment. Applications received after the deadline date will <u>not</u> be processed. Applicants who meet eligibility requirements in the future may reapply for participation in the program and prioritized, as specified in Section 1009.66, F.S., for the following quarters.
- (5) Renewal Application. In order to continue in the Nursing Student Loan Forgiveness Program, program participants must renew annually. The Nursing Student Loan Forgiveness Program Renewal Packet (DOS link), consisting of the Nursing Student Loan Forgiveness Program Participant Renewal and Payment Form, Form NSLF-4, and Nursing Student Loan Forgiveness Program Renewal Loan Principal Certification (renewal), Form NSLF-5, are incorporated by reference and available from the Department of Education, 325 West Gaines Street, Suite 1314, Tallahassee, Florida 32399-0400 and http://www.floridastudentfinancialaid.org/FFELP/Nursing Loan Forgiveness/NursingLoanForgiveness.html.

http://www.floridastudentfinancialaid.org/FFELP/Nursing Lo an Forgiveness/NursingLoanForgiveness.html. The Participant Renewal and Payment Form, Form NSLF-4, and Loan Principal Certification, Form NSLF-5, will be mailed annually to program participants, by the Department, approximately thirty (30) days prior to the participant's anniversary date of initial enrollment.

- (6) The Participant Renewal and Payment Form, Form NSLF-4, and Loan Principal Certification, Form NSLF-5, must be received annually by the Department by the deadline date, which is based on the participant's initial enrollment date. Deadline dates are: January 31, April 30, July 31 and October 31.
- (7) Payment. The Department will provide for the delivery of funds directly to the program participant's loan holder, on behalf of the program participant, by use of the Loan Principal Certification, Form NSLF-5, requesting the State Comptroller to issue warrants made payable to the program participant's loan holder for any of the participant's education loans that are not in default.
- (8) Program participants must maintain the payment schedule agreed upon with their loan holder while enrolled in the program.
- (9) Match Site Facilities Payment. Match site facilities are Florida licensed hospitals, birth centers and nursing homes

- cited in section 1009.66(7), Florida Statutes, that employ program participants. They must match funds awarded from the program on a dollar-for-dollar basis.
- (10) Match site facilities must annually pay fifty percent of the amount to be forwarded to the program participant's loan holder. The maximum amount a match site facility is required to pay is \$2,000 per year, per program participant.
- (11) The Department will notify the match site facility of the amount due, as the match portion of the annual payment, for each program participant employed at that facility, within thirty (30) days of the renewal application deadline.
- (12) Matching funds payments must be received by the Department no later than thirty (30) days after notification by the Department.
- (13) The Department will not authorize a payment to a program participant's loan holder until the matching funds payment is received by the Department.
- (14) Program participants whose eligible facility does not provide the matching funds payment will not have a payment forwarded to their loan holder and can not renew participation in the program, and the program participant's agreement will be terminated. Terminated program participants who meet eligibility requirements in the future may reapply for participation in the program.
- (15) Affected program participants will be notified by the Department of their program status.

Rulemaking Authority 1009.66 FS. Law Implemented 1009.66 FS. History–New 3-10-02, Amended 1-7-03, Formerly 64E-23.001,

#### 6A-20.051 Renewal.

Rulemaking Authority 1009.66 FS. Law Implemented 1009.66 FS. History–New 3-10-02, Amended 1-7-03, Formerly 64E-23.002, Repealed.

#### 6A-20.052 Payment.

Rulemaking Authority 1009.66 FS. Law Implemented 1009.66 FS. History–New 3-10-02, Amended 1-7-03, Formerly 64E-23.003, Repealed.

6A-20.053 Match Site Facilities Payment Requirement. Rulemaking Authority 1009.66 FS. Law Implemented 1009.66 FS. History–New 3-10-02, Formerly 64E-23.004, Repealed.

NAME OF PERSON ORIGINATING PROPOSED RULE: Linda Champion, Deputy Commissioner, Finance and Operations

NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Pam Stewart, Commissioner, Department of Education

DATE PROPOSED RULE APPROVED BY AGENCY HEAD: October 26, 2015

DATE NOTICE OF PROPOSED RULE DEVELOPMENT PUBLISHED IN FAR: October 21, 2015

#### DEPARTMENT OF TRANSPORTATION

RULE NO.: RULE TITLE:

14-10.00401 Administration of Outdoor Advertising

Permits

PURPOSE AND EFFECT: To provide criteria for the transfer, cancellation, reestablishment , and relocation of outdoor advertising permits.

SUMMARY: Provisions for administration of outdoor advertising permits previously found in rule 14-10.004 have been transferred to this new rule.

SUMMARY OF STATEMENT OF ESTIMATED REGULATORY COSTS AND LEGISLATIVE RATIFICATION: The Agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of \$200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the Agency.

The Agency has determined that the proposed rule is not expected to require legislative ratification based on the statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: The rule does not increase regulatory costs.

Any person who wishes to provide information regarding a statement of estimated regulatory costs, or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 334.044(2), 479.02(7) FS. LAW IMPLEMENTED: 334.044(28), 479.02, 479.07, 479.15 FS.

IF REQUESTED WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, A HEARING WILL BE SCHEDULED AND ANNOUNCED IN THE FAR.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Susan Schwartz, Assistant General Counsel, Florida Department of Transportation, 605 Suwannee Street, Mail Station 58, Tallahassee, Florida 32399-0458, (850)414-5392, susan.schwartz@dot.state.fl.us

#### THE FULL TEXT OF THE PROPOSED RULE IS:

<u>14-10.00401 Administration of Outdoor Advertising Permits.</u>

(1) Transfer of Permits. Requests to transfer a permit pursuant to Section 479.07(6), F.S., shall be submitted on an Outdoor Advertising Permit Transfer Request, Form 575-070-25, Rev. 06/15, incorporated herein by reference at <a href="https://www.flrules.org/Gateway/reference.asp?No=Ref-05480">https://www.flrules.org/Gateway/reference.asp?No=Ref-05480</a>.

- (a) The recipient of the transferred permit shall certify that written permission from the landowner, or other person in lawful control of the sign site, to maintain the sign on the site pursuant to Section 479.07(2), F.S., has been secured.
- (b) If a transfer of permit is made when the permit has been issued a notice of violation, or if a revocation proceeding is pending, the permit is subject to conditions existing at the time of transfer. The Department's approval of a permit transfer shall not constitute a waiver of rights on the part of the Department, nor shall a permit transfer in any way prohibit the issuance of a notice of violation, or preclude the Department from revoking the transferee's permit pursuant to Section 479.08, F.S., or this rule chapter.
- (c) If a transfer of sign permit is made during the initial 270 days from the date of permit issuance, the permit transferee receives the sign permit subject to all conditions which were applicable to the original applicant.
- (2) Cancellation of Permits. Permit cancellation notification must be submitted on Outdoor Advertising Permit Cancellation Certification, Form 575-070-12, Rev. 06/15, incorporated herein by reference at <a href="https://www.flrules.org/Gateway/reference.asp?No=Ref-05478">https://www.flrules.org/Gateway/reference.asp?No=Ref-05478</a>. All canceled tags must be returned to the Department with the certification, or otherwise be accounted for in writing.
- (3) Conditional Permit Cancellation. When an applicant requests cancellation of one permit in order to obtain a new permit, the existing permit shall be canceled simultaneously with the issuance of the new permit. The Outdoor Advertising Permit Cancellation Certification incorporated in above subparagraph (2), and the Application for Outdoor Advertising Permit incorporated in Rule 14-10.004(1) shall be submitted simultaneously to the Department. If a new permit does not meet current permitting requirements and cannot be issued, the existing permit will not be canceled.
- (4) Permits Canceled, or Not Renewed, in Error Petition for Reinstatement. Pursuant to Section 479.07(8)(b), F.S., a petition for reinstatement of permits canceled, or not renewed, in error shall be submitted to the State Outdoor Advertising License and Permit Office. The petition must be in writing, list the affected permit(s), and shall certify that:
- (a) The permit was canceled, or not renewed, in error by the permittee;
- (b) The permit tag for the canceled or expired permit was returned to the Department or otherwise accounted for:
  - (c) The sign has not been disassembled; and
- (d) The local government has not declared the sign illegal or taken any other action to have it removed.

If the Reinstatement Petition is denied by the Department, a new permit may be issued for a sign only if the sign meets all current permitting requirements. The reinstatement fee is \$300.00 per permitted sign.

(5) Reestablishment. Where the expansion or relocation of a transportation facility causes a sign to be located in the right of way, or within fifteen feet of the right of way, and the sign cannot be relocated pursuant to Section 479.15(3)(4) and (6), the permittee may reestablish the sign at a location that conforms with Chapter 479, F.S. and this rule chapter and meets all current requirments for permitting by submitting a completed Application for Outdoor Advertising Permit, incorporated in Rule 14-10.004(1). Initial application fees are not required with an application for reestablishment.

(6) Relocation. Where a Department project causes a sign lawfully permitted by the Department to be located in the right of way, the Department shall allow the relocation of the sign provided all requirements of Sections 479.15(3), (4), (5), and (6), F.S., are met. A sign relocation shall be by agreement between the permit holder and the Department. The sign permit will be amended to reflect the relocated location in the outdoor advertising database.

Rulemaking Authority 334.044(2), 479.02(7) FS. Law Implemented 334.044(28), 479.02, 479.07, 479.15, FS. History–(Formerly part of Rule 14-10.004), New

NAME OF PERSON ORIGINATING PROPOSED RULE: Robert Jessee, Manager, Outdoor Advertising

NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Jim Boxold, Secretary

DATE PROPOSED RULE APPROVED BY AGENCY HEAD: October 6, 2015

DATE NOTICE OF PROPOSED RULE DEVELOPMENT PUBLISHED IN FAR: October 23, 2015

#### DEPARTMENT OF CORRECTIONS

RULE NO.: RULE TITLE:

33-603.409 Cancellation of Administrative Gain Time

and Provisional Credits

PURPOSE AND EFFECT: The purpose and effect of the proposed rulemaking is to repeal this Rule as it recapitulates statutory language and is not used by the Department.

SUMMARY: The proposed rulemaking would repeal the Rule.

SUMMARY OF STATEMENT OF ESTIMATED REGULATORY COSTS AND LEGISLATIVE RATIFICATION: The Agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of \$200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the Agency.

The Agency has determined that the proposed rule is not expected to require legislative ratification based on the statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: upon review of the proposed changes to the rule, the Department has determined that the amendments will not exceed any one of the economic analysis criteria in a SERC as set forth in s. 120.541(2)(a), FS.

Any person who wishes to provide information regarding a statement of estimated regulatory costs, or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 944.09, 944.275 FS.

LAW IMPLEMENTED: 944.278 FS.

IF REQUESTED WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, A HEARING WILL BE SCHEDULED AND ANNOUNCED IN THE FAR.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Adam Stallard, 501 South Calhoun Street, Tallahassee, Florida 32399-2500

#### THE FULL TEXT OF THE PROPOSED RULE IS:

33-603.409 Cancellation of Administrative Gain Time and Provisional Credits

Rulemaking Authority 944.09, 944.275 FS. Law Implemented 944.278 FS. History—New 10-12-89, Amended 1-15-92, 12-22-92, 4-10-95, 12-7-97, Formerly 33-29.001, Amended 10-11-00, 10-28-07, 5-27-12, Repealed

NAME OF PERSON ORIGINATING PROPOSED RULE: Richard D. Comerford, Director of Institutional Operations NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Julie L. Jones, Secretary

DATE PROPOSED RULE APPROVED BY AGENCY HEAD: September 28, 2015

# DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

RULE NO.: RULE TITLE: 61-35.027 Real Estate Forms

PURPOSE AND EFFECT: The purpose and effect is to amend the rule to adopt updated forms relating to application for licensure of real estate sales associates, brokers, instructors, companies, and schools.

SUMMARY: The subject area to be addressed is the adoption of the new forms.

SUMMARY OF STATEMENT OF ESTIMATED REGULATORY COSTS AND LEGISLATIVE RATIFICATION: The Agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of \$200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the Agency.

The Agency has determined that the proposed rule is not expected to require legislative ratification based on the statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: the economic review conducted by the agency.

Any person who wishes to provide information regarding a statement of estimated regulatory costs, or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 20.165(8), 455.203, 455.213

LAW IMPLEMENTED: 455.271, 475.15, 475.161, 475.17, 475.175, 475.180, 475.181, 475.182, 475.183, 475.215, 475.23, 475.24, 475.451, 559.79 FS.

IF REQUESTED WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, A HEARING WILL BE SCHEDULED AND ANNOUNCED IN THE FAR.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Andy Janecek, Rules Coordinator, Division of Professions, 1940 North Monroe Street, Tallahassee, Florida 32399-0760, (850)717-1496

#### THE FULL TEXT OF THE PROPOSED RULE IS:

61-35.027 Real Estate <u>Departmental</u> Forms. First paragraph: No change.

- (1) Persons applying for a Sales Associate license shall use Form DBPR RE 1, Application for Sales Associate License, effective June 2015 April 2012, adopted and incorporated by reference, http://www.flrules.org/Gateway/reference.asp?No=Ref-01993.
- (2) Persons applying for a Broker license shall use Form DBPR RE 2, Application for Broker License, effective <u>June 2015 October 2012</u>, adopted and incorporated by reference, <a href="http://www.flrules.org/Gateway/reference.asp?No=Ref-">http://www.flrules.org/Gateway/reference.asp?No=Ref-</a>

<del>01995</del>.

(3) Persons applying for Real Estate Instructor permit shall use Form DBPR RE 3, Application for Real Estate Instructor Permit, effective June 2015 October 2012, adopted and incorporated by reference, http://www.flrules.org/Gateway/reference.asp?No=Ref-01997.

(4) Persons applying for a school permit shall use Form DBPR RE 5, Application for School Permit, effective <u>October 2014</u> April 2012, adopted and incorporated by reference, http://www.flrules.org/Gateway/reference.asp?No=Ref-

(5) Persons applying to change the status of their real estate school license shall use Form DBPR RE 6, Real Estate School Change of Status Transactions, effective <u>June 2015</u>April 2012, adopted and incorporated by reference, http://www.flrules.org/Gateway/reference.asp?No=Ref-01999.

(6) Persons applying for a real estate company license shall use Form DBPR RE 7, Application for Real Estate Company, effective October 2014 April 2012, adopted and incorporated by reference, http://www.flrules.org/Gateway/reference.asp?No=Ref-02001.

(7) Persons applying to open, close or request a duplicate license of a branch office shall Form DBPR RE 8, Application for Branch Office, effective June 2015 April 2012, adopted and incorporated by reference, http://www.flrules.org/Gateway/reference.asp?No=Ref-02002.

(8) Persons applying to open, close or request a duplicate license of an additional school location shall use Form DBPR RE 9, Application for Additional School Location, effective June 2015 April 2012, adopted and incorporated by reference, http://www.flrules.org/Gateway/reference.asp?No=Ref-02003.

(9) Persons requesting a demographic change or duplicate license/permit for real estate individuals shall use Form DBPR RE 10, Demographic Changes and Duplicate License for Real Estate Individuals, effective June 2015 October 2012, adopted and incorporated by reference, http://www.flrules.org/Gateway/reference.asp?No=Ref-

(10) No change.

02005.

01998.

(11) Persons requesting real estate company/sole proprietor transactions shall use Form DBPR RE 12, Real Estate Company/Sole Proprietor Transactions, effective <u>June 2015 April 2012</u>, adopted and incorporated by reference, http://www.flrules.org/Gateway/reference.asp?No=Ref-02007.

(12) Persons requesting broker transactions shall use Form DBPR RE 13, Broker (BK) Transactions, effective October 2014 April 2012, adopted and incorporated by reference,

 $\label{lem:http://www.flrules.org/Gateway/reference.asp?No=Ref-\\ \frac{02008}{2}.$ 

- (13) Persons requesting multiple permits for an instructor shall use Form DBPR RE 14, Multiple Permit Request for Instructor, effective <u>June 2015</u> April 2012, adopted and incorporated by reference, http://www.flrules.org/Gateway/reference.asp?No=Ref-02009
- (14) Persons applying to downgrade a Broker license to a Sales Associate license shall use Form DBPR RE 15, Revert Broker License to Sales Associate License, effective October 2014 April 2012, is adopted and incorporated by reference, http://www.flrules.org/Gateway/reference.asp?No=Ref-02010.

(15) Persons requesting Sales or Broker Sales transactions shall use Form DBPR RE 16, Miscellaneous Transactions, effective June 2015 April 2012, is adopted and incorporated by reference, <a href="http://www.flrules.org/Gateway/reference.asp?No=Ref-">http://www.flrules.org/Gateway/reference.asp?No=Ref-</a>.

Rulemaking Authority 20.165(8), 455.203, 455.213 FS Law Implemented 455.271, 475.15, 475.161, 475.17, 475.175, 475.180, 475.181, 475.182, 475.183, 475.215, 475.23, 475.24, 475.451, 559.79 FS. History–New 12-23-12-, Amended

NAME OF PERSON ORIGINATING PROPOSED RULE: Juana Watkins, Division Director, Division of Real Estate, Department of Business and Professional Regulation

NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Ken Lawson, Secretary, Department of Business and Professional Regulation

DATE PROPOSED RULE APPROVED BY AGENCY HEAD: October 9, 2015

DATE NOTICE OF PROPOSED RULE DEVELOPMENT PUBLISHED IN FAR: January 12, 2015

#### DEPARTMENT OF HEALTH

#### **Board of Acupuncture**

RULE NO.: RULE TITLE: 64B1-9.007 Advertising

PURPOSE AND EFFECT: To clarify permitted advertising.

SUMMARY: Clarify permitted advertising.

SUMMARY OF **STATEMENT** OF **ESTIMATED** REGULATORY **COST** AND **LEGISLATIVE** RATIFICATION: The agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of \$200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the agency. The agency has determined that the proposed rule is not expected to require legislative ratification based on the statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: During discussion of the economic impact of this rule at its Board meeting, the Board, based upon the expertise and

experience of its members, determined that a SERC was not necessary and that the rule will not require ratification by the Legislature. No person or interested party submitted additional information regarding the economic impact at that time.

Any person who wishes to provide information regarding a statement of estimated regulatory costs, or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 456.072, 457.104, 457.109

LAW IMPLEMENTED: 456.072(1)(a), (m), 457.109(1)(d), (e), (k) FS.

IF REQUESTED WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, A HEARING WILL BE SCHEDULED AND ANNOUNCED IN THE FAR.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Claudia Kemp, Executive Director, Board of Acupuncture, 4052 Bald Cypress Way, Bin #C06, Tallahassee, Florida 32399-3256, (850)245-4588

#### THE TEXT OF THE PROPOSED RULE IS:

64B1-9.007 Advertising.

(1) through (3)(d) No change.

(e) D.A.O.M.;

(f)(e) No change.

(g)(f) No change.

(h)(g) No change.

(i)(h) Doctor of Acupuncture and Oriental Medicine.

(4) No change.

Rulemaking Specific Authority 456.072, 457.104, 457.109 FS. Law Implemented 456.072(1)(a), (m), 457.109(1)(d), (e), (k) FS. History–New 9-27-06,\_\_\_\_\_.

NAME OF PERSON ORIGINATING PROPOSED RULE: Board of Acupuncture

NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Board of Acupuncture

DATE PROPOSED RULE APPROVED BY AGENCY HEAD: September 18, 2015

DATE NOTICE OF PROPOSED RULE DEVELOPMENT PUBLISHED IN FAR: August 27, 2015

# Section III Notice of Changes, Corrections and Withdrawals

# DEPARTMENT OF ENVIRONMENTAL PROTECTION Office of the Secretary

RULE NOS.: RULE TITLES: 62S-3.001 Definitions

62S-3.002 Operations, Activities and Recreation on

Lands Under the Management of the Office

of Greenways and Trails

62S-3.003 Determination and Applicability of Fines

NOTICE OF CORRECTION

Notice is hereby given that the following correction has been made to the proposed rule in Vol. 41 No. 177, September 11, 2015 issue of the Florida Administrative Register.

The summary of the rule repeals for the Notice of Proposed Rule for Rule Chapter 62S-3, F.A.C., Operations, Activities and Recreation on Lands Under the Management of the Office of Greenways and Trails, published in Volume 41, Number 177, on September 11, 2015, should have stated: These rules are being repealed because they are no longer necessary. The Office of Greenways and Trails is no longer a land manager. Land formerly managed by the Office of Greenways and Trails is now managed by the Division of Recreation and Parks. Chapter 62D-2, F.A.C., Operation of Division Recreation Areas and Facilities, sets forth the applicable rules for lands managed by the Division of Recreation and Parks.

#### DEPARTMENT OF CHILDREN AND FAMILIES

#### **Family Safety and Preservation Program**

RULE NOS.:	RULE TITLES:
65C-31.001	Definitions
65C-31.002	Case Management for Young Adults
	Formerly in Foster Care
65C-31.003	Aftercare Support Services for Young
	Adults Formerly in Foster Care (Repealed)
65C-31.004	Road to Independence Scholarship
65C-31.005	Transitional Support Services for Young
	Adults Formerly in Foster Care (Repealed)
65C-31.006	Young Adult Services Documentation
	Requirements
65C-31.008	Post Secondary Needs Assessment
65C-31.010	Jurisdictional and Service Requirements for
	Young Adults Formerly in the Custody of
	the Department
65C-31.011	Independent Living Program Budget
	Management
	NOTICE OF WITHDRAWAL

Notice is hereby given that the above rules, as noticed in Vol. 41 No. 151, August 5, 2015 issue of the Florida Administrative Register have been withdrawn.

### DEPARTMENT OF FINANCIAL SERVICES

Securities

RULE NOS.: RULE TITLES:

69W-600.001 Application for Registration as a Dealer,

Issuer/Dealer, or Investment Adviser

69W-600.0015 Canadian Dealer Notification

69W-600.002 Application for Registration as Associated

Person

#### NOTICE OF CHANGE

Notice is hereby given that the following changes have been made to the proposed rule in accordance with subparagraph 120.54(3)(d)1., F.S., published in Vol. 41 No. 135, July 14, 2015 issue of the Florida Administrative Register.

Following comments made at public hearings held on August 7 and October 26, 2015, and comments received from the public, the following amendments are made to the rules:

#### Rule 69W-600.001, F.A.C.

- (1) through (2) No change.
- (3) Amendment of Application. If the information contained in any application for registration as a dealer or in any amendment thereto, becomes inaccurate for any reason, the dealer shall file an amendment on the Form BD correcting such information within 30 days. An applicant may amend the application as to those factors generally within the control or selection of the applicant once, as a matter of course, at any time within thirty (30) days from its receipt for filing. Otherwise, the application may be amended only with prior permission from the Office. For applicants and registrants that are members of the FINRA, each such amendment, including those required by subsections (10) and (11) of this rule, shall be filed with the Office through the CRD system. If an amendment requires additional review by the Office, and the Office's additional review cannot be completed before the 90day time period of Section 120.60(1), F.S., the application shall be subject to denial, and a new application, accompanied by the appropriate filing fee, may be required.
  - (4) through (6) No change.
  - (7) Fingerprinting Requirements
  - (a) No change.
- (b) Notwithstanding any exemptions found in SEC Rule 17f-2 (17 C.F.R. § 240.17f-2), which is incorporated by reference in Rule 69W-200.002, F.A.C., any direct owner, principal, or indirect owner that is required to be reported on Form BD, pursuant to Section 517.12(7), F.S., who is not currently registered in Florida with the firm they are seeking to join or act as a direct owner, principal, or indirect owner shall submit fingerprints to the Office through a live scan vendor approved by FDLE and published on FDLE's website for submission to FDLE and the FBI for a state criminal background check and a federal criminal background check.

The cost of fingerprint processing shall be borne by the applicant and paid directly to the live scan vendor.

- (c) No change.
- (8) through (9) No change
- (10) No change.
- (a) No change.
- (b) Notify the Office within thirty (30) calendar days of the date filed, any answer or reply to any complaint filed as outlined in paragraph (10)(a);

(b)(e) Notify the Office within thirty (30) calendar days of the date of decision, order, or sanction rendered, or any appeal filed with respect to such decision with regard to any complaint outlined in paragraph (10)(a):; and

(c)(d) File with the Office one (1) copy of such complaint, answer or reply, decision, order, or sanction at the time of notification in accordance with paragraphs (10)(a), (10)(b), and (10)(c). A broker dealer who is a member of the FINRA shall file Sauch notifications shall be filed with the Office through the CRD of the FINRA in accordance with subsection (3) of this rule. When specifically requested by the Office pursuant to Section 517.021, F.S., one (1) copy of such complaint, answer or reply to any complaint, decision, order, or sanction shall be filed directly with the Office. However, Responses to requests by the Office for additional information shall be filed directly with the Office.

- (11) No change.
- (a) Where only a change in the name of an applicant or registrant as dealer occurs, notices of such fact shall be filed as an amendment on the forms prescribed by the Office within thirty (30) calendar days of the date of such change. For registrants who are members of the FINRA, such amendment shall be filed with the Office through the CRD pursuant to subsection (3)(1) of this rule. Any amendments to organizational documents or accompanying letters of explanation shall be submitted directly to the Office within 30 days when specifically requested by the Office.
- (b) Where there is a change in legal entity of a proprietary, partnership, or corporate registrant, the successor entity shall file with the Office an amendment to the Form BD within thirty (30) calendar days of the date of such change. For registrants who are members of FINRA, such amendment shall be filed with the Office through the CRD pursuant to subsection (3)(1) of this rule. Any amendments to organizational documents, accompanying letters of explanation, or current financial statements of the successor shall be submitted directly to the Office within 30 days when specifically requested by the Office.
- (c) Merger Situations: Where there is a merger of dealer registrants involving the assumption by the successor of substantially all assets and liabilities of the merged entities and the continuation of the activities of the merged entities'

successor entity, the merging entities shall file notification with the Office denoting such changes as are applicable within thirty (30) calendar days prior to the date of such change. The successor entity shall file an amendment to Form BD denoting such changes as are applicable within thirty (30) calendar days of date of such change. For registrants who are members of FINRA, each amendment shall be filed with the Office through the CRD pursuant to subsection (3)(1) of this rule. A copy of the plan of merger/merger agreement, amended organizational documents, accompanying letters explanation, or current financial statements of the successor (merged) entity shall be submitted directly to the Office within 30 days when specifically requested by the Office pursuant to section 517.201, F.S.

(d) through (f) No change.

Rule 69W-600.015, F.A.C.:

- (1) through (5) No change.
- (6) No change.
- (a) No change.

(b) Notify the Office within thirty (30) calendar days of the date filed, any answer or reply to any complaint filed as outlined in paragraph (5)(a);

(b)(e) Notify the Office within thirty (30) calendar days of the date of decision, order, or sanction rendered, or any appeal filed with respect to such decision with regard to any complaint outlined in paragraph (6)(5)(a).

(c)(d) Such notifications shall be filed with the Office through the REAL System in accordance with subsection (3) of this rule. When specifically requested by the Office pursuant to Section 517.021, F.S., one (1) copy of such complaint, answer or reply to any complaint, decision, order, or sanction shall be filed with the Office through the REAL System. Responses to requests by the Office for additional information shall be filed directly with the Office through the REAL System. File with the Office one (1) copy of such complaint, answer or reply, decision, order, or sanction at the time of notification in accordance with paragraphs (5)(a), (5)(b), and (5)(c). A Canadian dealer shall file such notifications with the Office through the REAL System.

Rule 69W-600.002, F.A.C.:

- (1) through (6) No change.
- (7) No change.
- (a) through (b) No change.
- (c) Notwithstanding any exemptions found in SEC rule 17f 2 (17 C.F.R. § 240.17f 2), any direct owner, principal, or indirect owner that is required to be reported on Form BD, Uniform Application for Broker Dealer Registration, pursuant to Section 517.12(7), F.S., who is not currently registered with the firm they are seeking to join or act as a direct owner,

principal, or indirect owner shall submit fingerprints to the Office through a live scan vendor approved by FDLE and published on FDLE's website for submission to FDLE and the FBI for a state criminal background check and a federal criminal background check. The cost of fingerprint processing shall be borne by the applicant and paid directly to the live scan vendor.

(d) The requirement to submit fingerprints is waived for any direct owner, principal, or indirect owner that is required to be reported on Form BD, Uniform Application for Broker-Dealer Registration, pursuant to Section 517.12(7), F.S., if fingerprints have been submitted and processed by FINRA on behalf of the member firm with which the owner or principal is affiliated, pursuant to the provisions of SEC Rule 17f 2 (17 C.F.R. § 240.17f 2).

- (8) through (9) No change.
- (10) No change.
- (a) Notify the Office within thirty (30) calendar days of the date a complaint is served, of any civil, criminal or administrative charges filed that directly or indirectly relate to the registration or sale of securities, or which directly or indirectly relate to activities as a principal or agent, or any other activity where a breach of a fiduciary trust is alleged. This shall not include minor traffic violations; but shall include any notification of investigation by any recognized regulatory agency; and
- (b) Notify the Office within thirty (30) calendar days of the date filed, any answer or reply to any complaint filed as outlined in paragraph (10)(a);

(b)(e) Notify the Office within thirty (30) calendar days of the date of decision, order, or sanction rendered, or any appeal filed with respect to such decision with regard to any complaint outlined in paragraph (10)(a).

(c)(d) Such notifications shall be filed with the Office through the CRD of the FINRA in accordance with subsection (3) of this rule. When specifically requested by the Office pursuant to Section 517.021, F.S., one (1) copy of such complaint, answer or reply to any complaint, decision, order, or sanction shall be filed with the Office through the REAL System. Responses to requests by the Office for additional information shall be filed directly with the Office. File with the Office one (1) copy of such complaint, answer or reply, decision, order, or sanction at the time of notification in accordance with paragraphs (10)(a), (10)(b), and (10)(c). Associated persons of a broker dealer who is a member of the FINRA shall file such notifications with the Office through the CRD of the FINRA in accordance with this rule. However, Responses to requests by the Office for additional information shall be filed directly with the Office.

(11) No change.

#### DEPARTMENT OF FINANCIAL SERVICES

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Securities	
RULE NOS.:	RULE TITLES:
69W-600.0012	Application for Registration as a Dealer
	(non-FINRA)
69W-600.0013	Application for Registration as an
	Issuer/Dealer
69W-600.0016	Application for Registration as an
	Investment Adviser (State Registered)
69W-600.0017	Notice-Filing for Federal Covered Advisers
69W-600.0022	Application for Registration as an
	Associated Person (Non-FINRA Dealer)
69W-600.0023	Application for Registration as an
	Associated Person (Issuer/Dealer)
69W-600.0024	Application for Registration as an
	Associated Person (Investment Adviser)
69W-600.0031	Notice-Filing of Branch Office (FINRA
	Dealer)
69W-600.0032	Notice-Filing of Branch Office (Non-
	FINRA Dealer)
69W-600.0033	Notice Filing of Branch Office
	(Issuer/Dealer)
69W-600.0034	Notice-Filing of Branch Office (Investment

Notice is hereby given that the following changes have been made to the proposed rule in accordance with subparagraph 120.54(3)(d)1., F.S., published in Vol. 41 No. 135, July 14, 2015 issue of the Florida Administrative Register.

NOTICE OF CHANGE

Adviser)

Following comments made at public hearings held on August 7 and October 26, 2015, and comments received from the public, the following amendments are made to the rules:

Rule 69W-600.012, F.A.C.

- (1) through (6) No change.
- (7) No change.
- (a) No change.
- (b) Notwithstanding any exemptions found in SEC rule 17f-2 (17 C.F.R. § 240.17f-2) which is incorporated by reference in Rule 69W-200.002, F.A.C., any direct owner, principal, or indirect owner that is required to be reported on Form BD, pursuant to Section 517.12(7), F.S., who is not currently registered in Florida with the firm they are seeking to join or act as a direct owner, principal, or indirect owner shall submit fingerprints to the Office through a live scan vendor approved by FDLE and published on FDLE's website for submission to FDLE and the FBI for a state criminal background check and a federal criminal background check. The cost of fingerprint processing shall be borne by the applicant and paid directly to the live scan vendor.
  - (8) through (9) No change.
- (10) Notice of Civil, Criminal or Administrative Action. A broker dealer shall:

- (a) Notify the Office within thirty (30) calendar days of the date a complaint is served, of any civil, criminal or administrative charges filed against the firm or owner which directly or indirectly relate to the registration or sale of securities, or which directly or indirectly relate to the activities as a dealer, investment adviser, principal or associated person, or any other activity where a breach of a fiduciary trust is alleged. This shall not include minor traffic violations; but shall include any notification of investigation by any recognized regulatory agency; and
- (b) Notify the Office within thirty (30) calendar days of the date filed, any answer or reply to any complaint filed as outlined in paragraph (10)(a);
- (b)(e) Notify the Office within thirty (30) calendar days of the date of decision, order, or sanction rendered, or any appeal filed with respect to such decision with regard to any complaint outlined in paragraph (10)(a); and.
- (c)(d) Such notifications shall be filed with the Office through the REAL System in accordance with subsection (3) of this rule. When specifically requested by the Office pursuant to Section 517.021, F.S., one (1) copy of such complaint, answer or reply to any complaint, decision, order, or sanction shall be filed with the Office through the REAL System. Responses to requests by the Office for additional information shall be filed directly with the Office through the REAL System. Notify the Office one (1) copy of such complaint, answer or reply, decision, order, or sanction at the time of notification in accordance with paragraphs (10)(a), (10)(b), and (10)(c). A broker dealer who is not a member of the FINRA shall file such notifications with the Office through the REAL System in accordance with this rule.
  - (11) No change.

### Rule 69W-600.0013, F.A.C.

- (1) through (3) No change.
- (4) Obligations Related to Acts of Associated Persons. A An issuer/dealer shall be responsible for the acts, practices, and conduct of their registered associated persons in connection with the purchase and sale of securities or in connection with the rendering of investment advice until such time as they have been properly terminated as provided in this rule; and such issuer/dealer may be subject to assessment under Section 517.12(11), F.S., for such associated persons as have been terminated but for whom the appropriate termination notices have not been filed at date of license renewal.
  - (5) No change.
- (a) An issuer required to be registered or who elects to be registered pursuant to Section 517.12(1), 517.051(9) or 517.061(11), F.S., selling its own securities exclusively through its principals or agents (as those terms are defined in

Section 517.021, F.S., and Rule 69W-200.001, F.A.C., respectively) may obtain registration as an issuer/dealer by filing as required under subsections (1) and or (11) of this rule, or 69W-500.011, F.A.C., as appropriate, provided that:

- 1. No change.
- 2. No change.
- (b) Every applicant for registration and registrant under Section 517.12, F.S., as an issuer/dealer (as those terms are defined under Section 517.021, F.S.), unless effectively registered with the Office as a <u>an issuer/dealer</u> prior to December 4, 1977, shall have and maintain at least one associated person qualified and registered as principal pursuant to Section 517.12, F.S., and the rules thereunder.
- 1. In the event a registered <u>issuer/dealer</u> fails to maintain at least one person registered as principal for more than thirty (30) days, the registration of such <u>issuer/dealer</u> shall be suspended until such time as a qualified principal is so registered.
- 2. Any applicant or registrant <u>issuer/dealer</u> may elect to register more than one person as principal; there is no limitation as to the number of associated persons that may be registered as principal as long as such persons meet the qualification standards as prescribed in subsection (6) of this rule, and the appropriate fees as specified in Section 517.12(10), F.S., have been paid.
  - (6) Examinations/Qualfications Requirements.
  - (a) No change.
- (b) Every applicant for initial registration as a principal or agent of a <u>an issuer/dealer</u> shall evidence securities general knowledge by:
  - 1. through 4. No change.
  - (c) No change.
  - (7) No change.
  - (a) No change.
- (b) Notwithstanding any exemptions found in SEC rule 17f 2 (17 C.F.R. § 240.17f 2), which is incorporated by reference in Rule 69W 200.002, F.A.C., Aeny direct owner, principal, or indirect owner that is required to be reported on Form BD, pursuant to Section 517.12(7), F.S., who is not currently registered in Florida with the firm they are seeking to join or act as a direct owner, principal, or indirect owner shall submit fingerprints to the Office through a live scan vendor approved by FDLE and published on FDLE's website for submission to FDLE and the FBI for a state criminal background check and a federal criminal background check. The cost of fingerprint processing shall be borne by the applicant and paid directly to the live scan vendor.
  - (8) No change.
- (a) Every <u>issuer/dealer</u> registered with the Office shall annually verify all registrations of associated persons and branch office notice-filings prior to December 31.

- (b) In addition to verifying registration or notice-filings as provided in paragraph (8)(a), to renew its registration and the registrations of its associated persons and branch office notice-filings, each <u>issuer/dealer</u> shall pay all renewal fees as required by Sections 517.12(11) and 517.1202(3), F.S.
  - (c) and (d) No change.
  - 69W-600.0013(9)
- (9) Termination of Registration as <u>Issuer/Dealer</u>, Principal or Agent, or Notificaton of Branch Office.
  - (a) through (c) No change.
- (10) Notice of Civil, Criminal or Administrative Action. An issuer/dealer shall:
  - (a) No change.
- (b) Notify the Office within thirty (30) calendar days of the date filed, any answer or reply to any complaint filed as outlined in paragraph (10)(a);

(b)(e) Notify the Office within thirty (30) calendar days of the date of decision, order, or sanction rendered, or any appeal filed with respect to such decision with regard to any complaint outlined in paragraph (10)(a).

(c)(d) Such notifications shall be filed with the Office through the REAL System in accordance with subsection (3) of this rule. When specifically requested by the Office pursuant to Section 517.021, F.S., one (1) copy of such complaint, answer or reply to any complaint, decision, order, or sanction shall be filed with the Office through the REAL System. Responses to requests by the Office for additional information shall be filed directly with the Office through the REAL System. File with the Office one (1) copy of such complaint, answer or reply, decision, order, or sanction at the time of notification in accordance with paragraphs (10)(a), (10)(b), and (10)(c). An issuer/dealer shall file such notifications with the Office through the REAL System in accordance with this rule.

(11) No change.

Rule 69W-600.0016, F.A.C.

- (1) No change.
- (a) No change.
- (b) No change.
- 1. through 4. No change
- 5. Any direct or indirect owner or control person required to be reported on Form ADV, pursuant to Section 517.12(7), F.S., who is not currently registered in Florida with the firm they are seeking to join or act as a direct or indirect owner or control person shall comply with the fingerprinting requirements in accordance with subsection (7) of this rule; and
  - 6. No change.
  - (2) through (5) No change.
  - (6) No change.

- (a) Every applicant An individual applying for registration as an investment adviser shall execute and submit a statement attesting to said applicant's knowledge and review of the Florida Securities and Investor Protection Act, as contained in the Form U-4.
- (b) An individual applying to be registered as an investment adviser or investment adviser representative shall provide the Office with proof of passing, within two years of the date of application for registration, one of the following examinations:
  - 1. No change.
  - 2. No change.
  - (c) No change.
- 1. Any individual who is registered as an investment adviser or investment adviser representative in any jurisdiction in the United States requiring examinations designated in paragraph (6)(b) within two years of the date of application for registration on the effective date of this rule shall not be required to satisfy the examination requirements for continued registration except that the Office may require additional examinations for any individual found to have violated any state or federal securities law.
- 2. An individual who has not been registered in any jurisdiction in the United States requiring examinations designated in paragraph (6)(b) as an investment adviser or investment adviser representative within two years of the date of application for registration shall be required to comply with the examination requirements of this rule.
- (d) The examination requirement for <u>an individual</u> <u>applying for registration as an investment adviser investment adviser representatives</u> shall not apply to an individual who currently holds one of the following professional designations:
  - 1. through 5. No change
  - (7) No change.
  - (8) No change.
  - (a) through (c) No change.
- (d) For investment advisers, Ffailure to submit the requisite amount of fees as provided for in paragraph (8)(b) by December 31 of the year of expiration of the registration shall result in the firm registration, agent registration, or branch office notice-filing not being renewed. If December 31 falls on a Saturday, Sunday or legal holiday pursuant to Section 110.117, F.S., the renewals received on the next business day shall be considered timely received. However, an expired registration or notice-filing may be reinstated in accordance with the provisions of Section 517.12(11) or 517.1202(3), F.S., provided that all requisite information and fees are date stamped by the cashier's office of the Department of Financial Services on or before January 31 of the year following the year of expiration. Failure to submit the requisite amount of fees necessary to reinstate registration or notice-filing by

January 31 of the year following the year of expiration shall result in such registration or notice-filing not being reinstated. If January 31 falls on a Saturday, Sunday, or legal holiday pursuant to Section 110.117, F.S., the reinstatement received on the next business day shall be considered timely received. In the event that the renewal or reinstatement is withdrawn or not granted, any fees filed to renew or reinstate registration or notice-filing shall become the revenue of the state pursuant to the provisions of Section 517.12(10) or 517.1202(8), F.S., and shall not be returnable.

- (9) No change.
- (a) Where a registrant withdraws, cancels, or otherwise terminates registration, or is terminated for any reason, notice of such fact shall be electronically filed with the Office using the forms incorporated in paragraph (9)(d)(e) of this rule within thirty (30) calendar days of the date of withdrawal, cancellation, or termination.
  - (b) through (c) No change.
  - (d) No change.
  - 1. and 2. No change.
- 3.Uniform Branch Office Registration Form (Form BR) (04/2014). A sample form is hereby incorporated by reference and is available at <a href="http://www.flrules.org/">http://www.flrules.org/</a> / or <a href="http://www.flrules.org/">http://www.flrules.org/</a> / or
- (10) Amendments to Form ADV. An investment adviser shall amend its Form ADV through the IARD at least annually, within 90 days of the end of its fiscal year; and more frequently, if required by the instructions to Form ADV. The instructions to Form ADV (09-11) are hereby incorporated by reference and are available at http://www.flrules.org/ / or http://www.flofr.com/ .

With the adding of Subsection (10) above, the previous Subsection (10) will be changed to Subsection (11):

(11)(10) Notice of Civil, Criminal or Administrative Action. An investment adviser shall:

- (a) Notify the Office within thirty (30) calendar days of the date a complaint is served, of any civil, criminal or administrative charges filed against the firm or owner which directly or indirectly relate to the registration or sale of securities, or which directly or indirectly relate to the activities as a dealer, investment adviser, principal or agent, or any other activity where a breach of a fiduciary trust is alleged. This shall not include minor traffic violations; but shall include any notification of investigation by any recognized regulatory agency; and
- (b) Notify the Office within thirty (30) calendar days of the date filed, any answer or reply to any complaint filed as outlined in paragraph (10)(a);

(b)(e) Notify the Office within thirty (30) calendar days of the date of decision, order, or sanction rendered, or any appeal filed with respect to such decision with regard to any complaint outlined in paragraph (11)(10)(a); and.

(c)(d) Such notifications shall be filed with the Office through the IARD in accordance with subsection (3) of this rule. When specifically requested by the Office pursuant to Section 517.021, F.S., one (1) copy of such complaint, answer or reply to any complaint, decision, order, or sanction shall be filed directly with the Office. File with the Office one (1) copy of such complaint, answer or reply, decision, order, or sanction at the time of notification in accordance with paragraphs (10)(a), (10)(b), and (10)(c). An investment adviser shall file such notifications with the Office through the IARD of the FINRA in accordance with this rule. However, Responses to requests by the Office for additional information shall be filed directly with the Office.

(12)(11) Changes in Name and Successor Registration Requirements.

- (a) through (c) No change.
- (d) No change.
- 1. No change.
- 2. Where a person or a group of persons directly or indirectly or acting by or through one or more persons, proposes to acquire a controlling interest in a dealer or investment adviser registrant, and where the acquirer has within the preceding 10 years committed any reportable act as defined in Rule 69W-200.001, F.A.C., and is not currently registered with the Office, the resulting entity shall, prior to such acquisition, file with the Office a new application for registration on the forms prescribed by the Office, together with all required exhibits and fees. Additionally, there shall be filed with the Office, at the time the new application is filed, a notice of withdrawal, termination or cancellation of registration of the acquired entity on the forms prescribed by the Office, effective upon disposition of the new application by the Office. The Office may waive the requirements of this subsection where the Office determines it is not necessary, based upon the nature and substance of the proposed acquirer's disciplinary history and experience, to require the filing of a new application for registration. Any person who receives a waiver of this subsection shall effect such change of control in compliance with the provisions of subparagraph (12)(11)(d)(1).
  - 3. No change.
- (e) For the purposes of paragraphs (12)(11)(b) and (12)(11)(c) of this rule, in the event that a person(s) succeeds to and continues the business of a Florida registered dealer or investment adviser, the registration of the predecessor shall be deemed to remain effective as the registration of the successor for a period of thirty (30) calendar days after such succession,

provided that an amendment to Form ADV together with the accompanying documents as prescribed heretofore, is filed by the successor within thirty (30) calendar days after such succession.

(f) For the purposes of paragraphs (12)(11)(a), (12)(11)(b), (12)(11)(c), and (12)(11)(d) of this rule, the effective registration of all associated persons and branch office notice-filings affiliated with the affected or investment adviser registrant shall be transferred to the successor entity by the Office without necessitating the filing of new applications on behalf of such associated persons and notice-filings of such branch offices, unless notice of termination is filed for such persons and branch offices by the successor pursuant to subsection (9) of this rule.

Rule 69W-600.0017, F.A.C.

No change.

Rule 69W-600.0022, F.A.C.

- (1) through (6) No change.
- (7) No change.
- (a) Fingerprints filed in accordance with Section 517.12(7), F.S., shall be submitted to the Office through a live scan vendor approved by the Florida Department of Law Enforcement (FDLE) and published on FDLE's website for submission to FDLE and the Federal Bureau of Investigation (FBI) for a state criminal background check and a federal criminal background check. The cost of fingerprint processing shall be borne by the applicant and paid directly to the live scan vendor.
- (b) Notwithstanding any exemptions found in SEC rule 17f 2 (17 C.F.R. § 240.17f 2), which is incorporated by reference in Rule 69W-200.002, F.A.C., any direct owner, principal, or indirect owner that is required to be reported on Form—BD,—Uniform—Application—for—Broker Dealer Registration, pursuant to Section 517.12(7), F.S., who is not currently registered with the firm they are seeking to join or act as a direct owner, principal, or indirect owner shall submit fingerprints to the Office through a live scan vendor approved by FDLE and published on FDLE's website for submission to FDLE and the FBI for a state criminal background check and a federal criminal background check. The cost of fingerprint processing shall be borne by the applicant and paid directly to the live scan vendor.
  - (8) through (9) No change.
  - (10) No change.
- (a) Notify the Office within thirty (30) calendar days of the date a complaint is served, of any civil, criminal or administrative charges filed that directly or indirectly relate to the registration or sale of securities, or which directly or indirectly relate to activities as a principal or agent, or any

other activity where a breach of a fiduciary trust is alleged. This shall not include minor traffic violations; but shall include any notification of investigation by any recognized regulatory agency; and

(b) Notify the Office within thirty (30) calendar days of the date filed, any answer or reply to any complaint filed as outlined in paragraph (10)(a);

(b)(e) Notify the Office within thirty (30) calendar days of the date of decision, order, or sanction rendered, or any appeal filed with respect to such decision with regard to any complaint outlined in paragraph (10)(a); and.

(c)(d) Such notifications shall be filed with the Office through the REAL System in accordance with subsection (3) of this rule. When specifically requested by the Office pursuant to Section 517.021, F.S., one (1) copy of such complaint, answer or reply to any complaint, decision, order, or sanction shall be filed with the Office through the REAL System. Responses to requests by the Office for additional information shall be filed with the Office through the REAL System. File with the Office one (1) copy of such complaint, answer or reply, decision, order, or sanction at the time of notification in accordance with paragraphs (10)(a), (10)(b), and (10)(c). Associated persons of a broker dealer who is not a member of the FINRA (formerly known as the National Association of Securities Dealers, Inc.) shall file such notifications with the Office through the REAL System.

(11) Continuing Education Requirement.

Failure to comply with any of the applicable continuing education requirements set forth in any one of the following shall be deemed a demonstration of unworthiness by an associated person under Section 517.161(1)(h), F.S.:

- (a) Rule 345A of the NYSE MKT LLC, which is incorporated by reference in Rule 69W-200.002, F.A.C.;
- (b) Rule G 3(h) of the Municipal Securities Rulemaking Board, which is incorporated by reference in Rule 69W 200.002, F.A.C.;
- (c) Rule 341A of NYSE MKT LLC, which is incorporated by reference in Rule 69W 200.002, F.A.C.;
- (d) Article VI, Rule 11 of the Chicago Stock Exchange which is incorporated by reference in Rule 69W 200.002, F.A.C.

Rule 69W-600.0023, F.A.C.

- (1) through (6) No change.
- (7) No change.
- (a) Fingerprints filed in accordance with Section 517.12(7), F.S., shall be submitted to the Office through a live scan vendor approved by the Florida Department of Law Enforcement (FDLE) and published on FDLE's website for submission to FDLE and the Federal Bureau of Investigation (FBI) for a state criminal background check and a federal

criminal background check. The cost of fingerprint processing shall be borne by the applicant and paid directly to the live scan vendor.

(b) Notwithstanding any exemptions found in SEC rule 17f 2 (17 C.F.R. § 240.17f 2), which is incorporated by reference in Rule 69W 200.002, F.A.C., any direct owner, principal, or indirect owner that is required to be reported on Form—BD,—Uniform—Application—for—Broker Dealer Registration, pursuant to Section 517.12(7), F.S., who is not currently registered in Florida with the firm they are seeking to join or act as a direct owner, principal, or indirect owner shall submit fingerprints to the Office through a live scan vendor approved by FDLE and published on FDLE's website for submission to FDLE and the FBI for a state criminal background check and a federal criminal background check. The cost of fingerprint processing shall be borne by the applicant and paid directly to the live scan vendor.

- (8) No change.
- (a) No change.
- (b) For associated persons of issuer/dealers, Ffailure of the firm to submit the requisite amount of fees by December 31 of the year of expiration of the registration shall result in such registration not being renewed. If December 31 falls on a Saturday, Sunday or legal holiday pursuant to Section 110.117, F.S., the renewals received on the next business day shall be considered timely received. However, an expired registration may be reinstated in accordance with Section 517.12(11), F.S., provided that all requisite information and fees are filed electronically on the Office's website at https://real.flofr.com through the REAL System on or before January 31 of the year following the year of expiration. Failure to submit the requisite amount of fees necessary to reinstate registration by January 31 of the year following the year of expiration shall result in such registration not being reinstated. If January 31 falls on a Saturday, Sunday, or legal holiday pursuant to Section 110.117, F.S., the reinstatement received on the next business day shall be considered timely received. If the renewal or reinstatement is withdrawn or not granted, any fees filed to renew or reinstate registration shall become the revenue of the state pursuant to Section 517.12(10), F.S., and shall not be returnable.
  - (9) No change.
  - (10) No change.
  - (a) No change.
- (b) Notify the Office within thirty (30) calendar days of the date filed, any answer or reply to any complaint filed as outlined in paragraph (10)(a);

(b)(e) Notify the Office within thirty (30) calendar days of the date of decision, order, or sanction rendered, or any appeal filed with respect to such decision with regard to any complaint outlined in paragraph (10)(a).

(c)(d) Such notifications shall be filed with the Office through the REAL System in accordance with subsection (3) of this rule. When specifically requested by the Office pursuant to Section 517.021, F.S., one (1) copy of such complaint, answer or reply to any complaint, decision, order, or sanction shall be filed with the Office through the REAL System. Responses to requests by the Office for additional information shall be filed with the Office through the REAL System. File with the Office one (1) copy of such complaint, answer or reply, decision, order, or sanction at the time of notification in accordance with paragraphs (10)(a), (10)(b), and (10)(c). Associated persons of an issuer/dealer shall file such notifications with the Office through the REAL System in accordance with this rule. However, responses to requests by the Office for additional information shall be filed directly with the Office.

(11) Continuing Education Requirement. Failure to comply with any of the applicable continuing education requirements set forth in any one of the following shall be deemed a demonstration of unworthiness by an associated person under Section 517.161(1)(h), F.S.:

(a) Rule 345A of the NYSE MKT LLC, which is incorporated by reference in Rule 69W 200.002, F.A.C.;

(b) Rule G 3(h) of the Municipal Securities Rulemaking Board, which is incorporated by reference in Rule 69W 200.002, F.A.C.;

(c) Rule 341A of NYSE MKT LLC, which is incorporated by reference in Rule 69W 200.002, F.A.C.;

(d) Article VI, Rule 11 of the Chicago Stock Exchange, which is incorporated by reference in Rule 69W 200.002, F.A.C.

Rule 69W-600.0024, F.A.C.

69W-600.0024 Application for Registration as Associated Person (Investment Adviser and Federal Covered Adviser)

- (1) through (2) No change.
- (3) Amendment of Application. If the information contained in any Form U-4 becomes inaccurate for any reason before or after the associated person becomes registered, the associated person through the investment adviser or federal covered adviser shall be responsible for correcting the inaccurate information within thirty (30) days. If the information being updated relates to the applicant's or registrant's disciplinary history, in addition to updating the Form U-4, the associated person through the investment adviser or federal covered adviser shall also provide the Office with notice and copies of each civil, criminal or administrative action initiated against the associated person as provided in subsection (10) of this rule. Associated persons shall file such amendments through the CRD system.
  - (4) No change.

- (a) No change.
- (b) A person registered with the Office as an associated person of an investment adviser or federal covered adviser shall not be required to register as an associated person of any other investment adviser or federal covered adviser on whose behalf such person solicits, refers, offers or negotiates advisory services, provided each of the following conditions are met:
- 1. All compensation received by the associated person is paid by the investment adviser <u>or federal covered adviser</u> with which the associated person is registered;
- 2. All customer funds and securities are maintained by the <u>a</u> dealer, investment adviser, <u>federal covered adviser</u>, or <del>a</del> clearing dealer;
- 3. The investment adviser <u>or federal covered adviser</u> shall ensure that all associated persons comply with the provisions of Chapter 517, F.S., and the administrative rules promulgated thereunder; and
- 4. Each investment adviser must be registered <u>or federal covered adviser notice filed</u> with the Office and the associated person's advisory services must be approved by the investment adviser <u>or federal covered adviser</u> the associated person is registered with prior to any services being recommended.
  - (5) No change.
- (a) It is prohibited for any associated person to conduct investment advisory business in this state under any name other than that of the investment adviser or federal covered adviser with which the associated person is registered unless each of the following conditions is met:
- 1. The business conducted does not violate or evade any provision of Chapter 517, F.S.;
- 2. The business conducted does not fall within the definition of "dealer" or "investment adviser" as used in Chapter 517, F.S.; and
- 3. The investment adviser <u>or federal covered adviser</u> with which the associated person is registered has received written notice of the name under which business shall be conducted.
  - (6) No change.
  - (a) No change.
- (b) An individual applying to be registered as an <u>associated person of an</u> investment adviser or <u>federal covered adviser</u> investment adviser representative shall provide the Office with proof of passing, within two years of the date of application for registration, one of the following examinations:
  - 1. No change.
  - 2. No change.
  - (c) No change.
- 1. Any individual who is registered as an <u>associated</u> <u>person of an</u> investment adviser <u>or federal covered adviser or investment adviser representative</u> in any jurisdiction in the

- United States requiring examinations designated in paragraph (6)(b) within two years of the date of application for registration shall not be required to satisfy the examination requirements for continued registration except that the Office may require additional examinations for any individual found to have violated any state or federal securities law.
- 2. An individual who has not been registered in any jurisdiction in the United States requiring examinations designated in paragraph (6)(b) as an associated person of an investment adviser or investment adviser representative or federal covered adviser within two years of the date of application for registration shall be required to comply with the examination requirements of this rule.
- (d) The examination requirement for <u>associated persons</u> of <u>an</u> investment adviser <del>representatives</del> or <u>federal covered adviser</u> shall not apply to an individual who currently holds one of the following professional designations:
  - 1. through 5. No change.
  - (7) No change.
  - (a) No change.
  - (b) No change.
- (c) Notwithstanding any exemptions found in SEC rule 17f 2 (17 C.F.R. § 240.17f 2), any direct owner, principal, or indirect owner that is required to be reported on Form ADV, Uniform Application for Investment Adviser Registration, pursuant to Section 517.12(7), F.S., who is not currently registered with the firm they are seeking to join or act as a direct owner, principal, or indirect owner shall submit fingerprints to the Office through a live scan vendor approved by FDLE and published on FDLE's website for submission to FDLE and the FBI for a state criminal background check and a federal criminal background check. The cost of fingerprint processing shall be borne by the applicant and paid directly to the live scan vendor.
- (d) The requirement to submit fingerprints is waived for any direct owner, principal, or indirect owner that is required to be reported on Form ADV pursuant to Section 517.12(7), F.S., if fingerprints have been submitted and processed by FINRA on behalf of the member firm with which the owner or principal is affiliated, pursuant to the provisions of SEC Rule 17f 2 (17 C.F.R. § 240.17f 2).
  - (8) No change.
  - (a) No change.
- (b) For associated persons of federal covered advisers and investment advisers, Ffailure of the firm to submit the requisite amount of fees by December 31 of the year of expiration of the registration shall result in such registration not being renewed. If December 31 falls on a Saturday, Sunday or legal holiday pursuant to Section 110.117, F.S., the renewals received on the next business day shall be considered timely received. However, an expired registration may be

reinstated in accordance with the provisions of Section 517.12(11), F.S., provided that all requisite information and fees are date stamped by the cashier's office of the Department of Financial Services on or before January 31 of the year following the year of expiration. Failure to submit the requisite amount of fees necessary to reinstate registration by January 31 of the year following the year of expiration shall result in such registration not being reinstated. If January 31 falls on a Saturday, Sunday, or legal holiday pursuant to Section 110.117, F.S., the reinstatement received on the next business day shall be considered timely received. In the event that the renewal or reinstatement is withdrawn or not granted, any fees filed to renew or reinstate registration shall become the revenue of the state pursuant to Section 517.12(10), F.S., and shall not be returnable.

- (9) No change.
- (10) No change.
- (a) No change.
- (b) Notify the Office within thirty (30) calendar days of the date filed, any answer or reply to any complaint filed as outlined in paragraph (10)(a);

(b)(e) Notify the Office within thirty (30) calendar days of the date of decision, order, or sanction rendered, or any appeal filed with respect to such decision with regard to any complaint outlined in paragraph (10)(a).

(c)(d) File with the Office one (1) copy of such complaint, answer or reply, decision, order, or sanction at the time of notification in accordance with paragraphs (10)(a), (10)(b), and (10)(e). Associated persons of a federal covered adviser or an investment adviser shall file Such notifications shall be filed with the Office through the CRD of the FINRA in accordance with this subsection 3 of this rule. When specifically requested by the Office pursuant to Section 517.021, F.S., one (1) copy of such complaint, answer or reply to any complaint, decision, order, or sanction shall be filed directly with the Office. However, Rresponses to requests by the Office for additional information shall be filed directly with the Office.

(11) Continuing Education Requirement. Failure to comply with any of the applicable continuing education requirements set forth in any one of the following shall be deemed a demonstration of unworthiness by an associated person under Section 517.161(1)(h), F.S.:

- (a) FINRA Rule 1250, which is incorporated by reference in Rule 69W 200.002, F.A.C.;
- (b) Rule 345A of the NYSE MKT LLC, which is incorporated by reference in Rule 69W 200.002, F.A.C.;
- (c) Rule G-3(h) of the Municipal Securities Rulemaking Board, which is incorporated by reference in Rule 69W-200.002, F.A.C.;

(d) Rule 341A of NYSE MKT LLC, which is incorporated by reference in Rule 69W 200.002, F.A.C.;

(e) Article VI, Rule 11 of the Chicago Stock Exchange, which is incorporated by reference in Rule 69W 200.002, F.A.C.

Rule 69W-600.0031, F.A.C. No change.

Rule 69W-600.0032, F.A.C. No change.

Rule 69W-600.0033, F.A.C. No change.

Rule 69W-600.0034, F.A.C. No change.

## DEPARTMENT OF FINANCIAL SERVICES

#### **Securities**

RULE NOS.: RULE TITLES:

69W-600.0018 Notice-Filing and Requirements for Florida

**Intrastate Crowdfunding Issuers** 

69W-600.0019 Registration of Florida Intrastate

Crowdfunding Intermediaries

69W-600.0145 Requirements for Florida Intrastate

Crowdfunding Intermediaries NOTICE OF CHANGE

Notice is hereby given that the following changes have been made to the proposed rule in accordance with subparagraph 120.54(3)(d)1., F.S., published in Vol. 41 No. 191, October 1, 2015 issue of the Florida Administrative Register.

Following comments made at a public hearing held on October 26, 2015, and comments made by the Joint Administrative Committee, the following amendments are made to the rules:

Rule 69W-600.0018, F.A.C.

- (1) No change.
- (a) No change.
- (b) No change.
- 1. Form FL-CI (10/15). A sample form (which includes its instructions, which define certain terms in Section 517.0611, F.S.) is hereby incorporated by reference and available at http://www.flrules.org/\_\_/ or http://www.flofr.com/\_\_/. The accompanying instructions to Form FL CI, which define certain terms in Section 517.0611, F.S., are hereby incorporated by reference as part of this rule.
  - 2. No change.
  - a. No change.
  - b. No change.
  - c. No change.

- 3. 2. Statutory fee in the amount required by Section 517.0611(5), F.S.
  - (2) through (4) No change.
- (5) Disclosure statement. Certain terms used in Section 517.0611(7), F.S., regarding the disclosure statement required to be provided by the issuer, are defined in Preparing a Disclosure Statement for a Florida Intrastate Crowdfunding Offering Pursuant to Section 517.0611, Florida Statutes (Supplement CI). Supplement CI (10/15) is hereby incorporated by reference as part of this rule and is available at http://www.flrules.org/\_\_\_\_/ or http://www.flofr.com/\_\_\_/.

#### Rule 69W-600.0019, F.A.C.

- (1) No change.
- (a) Applicants for initial registration of intermediaries shall file the Registration of Crowdfunding Intermediary Application Application for Crowdfunding Intermediary Registration (Form FL-INT) electronically on the Office of Financial Regulation's website at https://real.flofr.com through the Regulatory Enforcement and Licensing (REAL) System. The application shall include all information required by such form, any other information the Office may require, and payment of the statutory fees required by Section 517.12(20), F.S. The application shall be deemed received on the date the Office issues a confirmation to the applicant of submission and payment via the Office's website. Every application or amendment filed pursuant to this rule shall constitute a "written application" within the meaning of Section 517.12(20), F.S.
  - (b) No change.
- 1. Form FL-INT (10/15) A sample form (which includes its instructions, which define certain terms in Section 517.12(20), F.S.) is hereby incorporated by reference and available at http://www.flrules.org/\_\_\_/ or http://www.flofr.com/\_\_\_/. The accompanying instructions to Form FL INT, which define certain terms in Section 517.12(20), F.S., are hereby incorporated by reference as part of this rule;
  - 2. No change.
- 3. Documentation required to be uploaded in PDF form to the REAL System as part of the application, including a copy of the applicant's articles of incorporation, if a corporation, or articles of organization, if a limited liability company, or partnership agreement, if a partnership, pursuant to Section 517.12(20)(a)2., F.S.;
  - 4. No change.
  - (2) No change.
- (3) Amendment of Application. Pursuant to Section 517.12(20)(c), F.S. if the information contained in any application for registration as an intermediary or in any amendment thereto, becomes inaccurate for any reason, the

- intermediary shall file an amendment on the Form FL-INT, correcting such information within 30 days. An applicant may amend the application as to those factors generally within the control or selection of the applicant once, as a matter of course, at any time within thirty (30) days from its receipt for filing. Otherwise, the application may be amended only with prior permission from the Office. Applicants and registrants shall file such amendments directly with the Office electronically through the REAL System. If an amendment requires additional review by the Office, and the Office's additional review cannot be completed before the 90-day time period of Section 120.60(1), F.S., the application shall be subject to Requests to make changes which are material to the application or to the Office's evaluation of the application filed at any time after the application has been received may be deemed by the Office to be grounds for denial, and a new application, accompanied by the appropriate filing fee, may be
  - (4) through (6) No change.
  - (7) No change.
- (a) Notify the Office within thirty (30) calendar days of the date a complaint is served, of any civil, criminal or administrative charges filed against the firm or owner which directly or indirectly relate to the registration or sale of securities, or which directly or indirectly relate to the activities as a dealer, investment adviser, principal or agent, or any other activity where a breach of a fiduciary trust is alleged. This shall not include minor traffic violations; but shall include any notification of investigation by any recognized regulatory agency; and
- (b) Notify the Office within thirty (30) calendar days of the date filed, any answer or reply to any complaint filed as outlined in paragraph (7)(a);

(b)(e) Notify the Office within thirty (30) calendar days of the date of decision, order, or sanction rendered, or any appeal filed with respect to such decision with regard to any complaint outlined in paragraph (7)(10)(a).

(c)(d) File with the Office one (1) copy of such complaint, answer or reply, decision, order, or sanction at the time of notification in accordance with paragraphs (7)(a), (7)(b), and (7)(c). An intermediary shall file Such notifications shall be filed with the Office through the REAL System in accordance with subsection (3) of this rule. When specifically requested by the Office pursuant to Section 517.021, F.S., one (1) copy of such complaint, answer or reply to any complaint, decision, order, or sanction shall be filed directly with the Office. Responses to requests by the Office for additional information shall be filed directly with the Office.

Rule 69W-600.0145, F.A.C.

<u>69W-600.0145</u> <u>69W-600.00145</u> Requirements for Florida Intrastate Crowdfunding Intermediaries.

(1) through (3) No change.

#### DEPARTMENT OF FINANCIAL SERVICES

#### **Securities**

RULE NO.: RULE TITLE:

69W-700.001 Registration of Securities

NOTICE OF CHANGE

Notice is hereby given that the following changes have been made to the proposed rule in accordance with subparagraph

2015 issue of the Florida Administrative Register.

Following comments made at a public hearing held on October 26, 2015, the following change has been made to the proposed rules:

120.54(3)(d)1., F.S., published in Vol. 41 No. 135, July 14,

Rule 69W-700.001, F.A.C.

- (1) No change.
- (2) Financial Reporting Requirements for Securities Registration.
  - (a) through (b) No change.
- (c) If any of the proceeds of the securities offered for registration are to be used directly or indirectly for the purchase of any business or portion thereof, financial statements of such business or portion thereof are required to be filed as required by paragraph (2)(6)(a) of this rule.
  - (d) No change.
  - (2) No change.

## Section IV Emergency Rules

#### **NONE**

# Section V Petitions and Dispositions Regarding Rule Variance or Waiver

#### DEPARTMENT OF TRANSPORTATION

The Florida Department of Transportation hereby gives notice: NOTICE IS HEREBY GIVEN that on October 22, 2015, the Department of Transportation entered an Order Denying Waiver from Section 4.5.2 of the Utilities Accommodation Manual, incorporated by reference in Rule 14-46.001, F.A.C., and Granting Partial Variance from Rule 14-46.001, F.A.C. On August 10, 2015, Choctawhatchee Electric Cooperative, Inc. (CHELCO), requested a waiver from tree mitigation requirements for CHELCO's removal of trees for upgrades and relocation of its electric transmission line along State

Road 187/US 331N in Walton County. Notice of the Petition for Waiver was published in the August 17, 2015, edition of the Florida Administrative Register. The Petitioner has not demonstrated the purpose of the statutes underlying Rule 14-46.001, F.A.C., can be achieved through other means, nor that it would create a substantial hardship and result in an unfair and unintended result; however, the Department does agree that replacing diseased, dead or dying trees with healthy trees would be an inequitable result of the rule. Petitioner's request for waiver is denied, but CHELCO is granted a partial variance from the Rule requirements.

A copy of the Order or additional information may be obtained by contacting: Clerk of Agency Proceedings, Florida Department of Transportation, Office of the General Counsel, 605 Suwannee Street, M.S. 58, Tallahassee, Florida 32399-0458, FDOT.AgencyClerk@dot.state.fl.us.

#### WATER MANAGEMENT DISTRICTS

Southwest Florida Water Management District

RULE NO.: RULE TITLE:

40D-2.801 Water Use Caution Areas

NOTICE IS HEREBY GIVEN that on October 16, 2015, the Southwest Florida Water Management District received a request for a variance.

Petitioner's Names: James B. and Patricia A. Haggard Rule No.: 40D-2.801, paragraph (3)(c), F.A.C.

Nature of the rule for which variance is sought: the Dover/Plant City Water Use Caution Area, established to address adverse impacts to water users and offsite land uses due to groundwater withdrawals during frost/freeze events.

The request has been assigned OGC File No. 2015035. A copy of the receipt of request for variance may be obtained by contacting: Amissa Smith, 7601 US Highway 301, Tampa, Florida 33637, (813)985-7481, ext. 4658, amissa.smith@swfwmd.state.fl.us.

The Southwest Florida Water Management District (District) does not discriminate on the basis of disability. This nondiscrimination policy involves every aspect of the District's functions, including access to and participation in the District's programs and activities. Anyone requiring reasonable accommodation as provided for in the Americans with Disabilities Act should contact the District's Human Resources Bureau Chief, 2379 Broad St., Brooksville, FL 34604-6899, telephone: (352)796-7211 or 1(800)423-1476 (FL only), ext. 4703 or ADACoordinator@WaterMatters.org. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

Any interested person or other agency may submit written comments within 14 days after the publication of this notice.

## Section VI Notice of Meetings, Workshops and Public Hearings

#### DEPARTMENT OF LEGAL AFFAIRS

The Florida Commission on the Status of Women announces a public meeting to which all persons are invited.

DATES AND TIMES: November 5, 2015 9:00 a.m.; November 6, 2015, 9:00 a.m.

PLACE: Please call (850)414-3300 for instructions on participation

GENERAL SUBJECT MATTER TO BE CONSIDERED: The 4th Quarterly Meeting of 2015 of the Florida Commission on the Status of Women.

NOTE: In the absence of a quorum, items on this agenda will be discussed as a workshop, and notes will be recorded although no formal action will be taken. If you have any questions, please call (850)414-3300.

A copy of the agenda may be obtained by contacting: the Florida Commission on the Status of Women at the Office of the Attorney General, The Capitol, Tallahassee, FL 32399-1050, (850)414-3300, fax: (850)921-4131.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 3 days before the workshop/meeting by contacting: the Florida Commission on the Status of Women at the Office of the Attorney General, The Capitol, Tallahassee FL 32399-1050, (850)414-3300, fax: (850)921-4131. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

If any person decides to appeal any decision made by the Board with respect to any matter considered at this meeting or hearing, he/she will need to ensure that a verbatim record of the proceeding is made, which record includes the testimony and evidence from which the appeal is to be issued.

For more information, you may contact: Florida Commission on the Status of Women at the Office of the Attorney General, The Capitol, Tallahassee, FL 32399-1050, (850)414-3300, fax: (850)921-4131.

#### DEPARTMENT OF EDUCATION

The Florida Rehabilitation Council announces a telephone conference call to which all persons are invited.

DATE AND TIME: November 12, 2015, 11:00 a.m. – 12:00 Noon

PLACE: Conference call: 1(888)670-3525, passcode: 7513637441

GENERAL SUBJECT MATTER TO BE CONSIDERED: Florida Rehabilitation Council Evaluation Committee general business

A copy of the agenda may be obtained by contacting: Roy Cosgrove, (850)245-3317, roy.cosgrove@vr.fldoe.org.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 7 days before the workshop/meeting by contacting: Roy Cosgrove, (850)245-3317, roy.cosgrove@vr.fldoe.org. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice). For more information, you may contact: Roy Cosgrove, (850)245-3317, roy.cosgrove@vr.fldoe.org.

#### DEPARTMENT OF TRANSPORTATION

The FDOT announces a public meeting to which all persons are invited.

DATE AND TIME: November 2, 2015, 1:00 p.m.

PLACE: FDOT District Office, Chipley, FL

GENERAL SUBJECT MATTER TO BE CONSIDERED: Access Management Review committee meetings.

A copy of the agenda may be obtained by contacting: Kim Weaver, (850)330-1438.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 1 day before the workshop/meeting by contacting: Kim Weaver, (850)330-1438. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

For more information, you may contact: Kim Weaver, (850)330-1438.

#### DEPARTMENT OF TRANSPORTATION

RULE NO.: RULE TITLE:

14-97.003 Access Control Classification System and Access Management Standards

The Florida Department of Transportation announces a public meeting to which all persons are invited.

DATE AND TIME: First Monday of every month beginning Monday, December 7, 2015 through Monday December 5, 2016, 1:30 p.m. – 5:30 p.m.

PLACE: District 1 Headquarters Executive Conference Room, #328, 801 N. Broadway Ave., Bartow, FL 33830

GENERAL SUBJECT MATTER TO BE CONSIDERED: Access Management Review Committee will hear and consider any access-related concerns to be brought before the committee. Actual meetings will be scheduled with details or cancelled if there are no concerns brought forward for that month.

A copy of the agenda may be obtained by contacting: Selina Carroll, Traffic Operations Specialist, (863)519-2258, selina.carroll@dot.state.fl.us.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 2 days before the workshop/meeting by contacting: Selina Carroll, Traffic Operations Specialist, (863)519-2258, selina.carroll@dot.state.fl.us. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

#### DEPARTMENT OF TRANSPORTATION

The Florida Department of Transportation announces public meetings to which all persons are invited.

DATES AND TIMES: Monday, November 2, 2015, 5:30 p.m. – 7:30 p.m., Downtown Community; Tuesday, November 3, 2015, 5:30 p.m. – 7:30 p.m., West Tampa Community; Tuesday, November 17, 2015, 5:30 p.m. – 7:30 p.m., Seminole Heights Community

PLACE: John Germany Library, 900 Ashley Drive, Tampa, Florida

GENERAL SUBJECT MATTER TO BE CONSIDERED: Tampa Bay Express City of Tampa Community Engagement Meetings for the Downtown Tampa Interchange (I-275 at I-4), WPI: 433821-1-22-01.

The Florida Center for Community Design and Research (FCCDR), in cooperation with the Florida Department of Transportation District Seven, City of Tampa, Hillsborough County, HART, and Hillsborough Planning Commission, is inviting community representatives from City of Tampa Home Owner Associations and civic and business associations near the Downtown Tampa Interchange (I-275 at I-4) to participate in Community Engagement meetings to:

- Develop a common understanding of the neighborhood concerns related to the TBX project.
- Frame the concerns regarding the TBX project in the context of the community/neighborhoods to determine neighborhood needs.
- Develop shared goals and objectives to address the neighborhood needs regarding the TBX project.
- Put together plans/reports to clarify and visualize the shared goals and objectives to meet neighborhood needs.

Individuals may attend the meetings as observers to the process and participate through worksheets provided at the meeting. Audience seating will be limited.

The results of these meetings will be shared via the tampabayexpress.com website, local newspapers, email and in the community (location to be determined) for review and comment by all of the community. There will be a Florida Department of Transportation, District Seven, community meeting in Spring 2016 for final comments.

A copy of the agenda may be obtained by contacting: please check Tampabayexpress.com for current information and meeting updates or call Lee Beasley, Community Liaison Administrator, at (813)975-6427.

For more information, you may contact: Lee Beasley. Public participation is solicited without regard to race, color, national origin, age, sex, religion, disability or family status. Persons who require special accommodations under the American with Disabilities Act or persons who require translation services (free of charge) should contact Lee Beasley, Community Liaison Administrator, at (813)975-6427, 1(800)226-7220 or lee.beasley@dot.state.fl.us six (6) days prior to the meeting.

#### EXECUTIVE OFFICE OF THE GOVERNOR

The following state governmental agencies, boards and commissions announce a public meeting to which all persons are invited:

State Board of Administration

Division of Bond Finance

Financial Services Commission

Office of Insurance Regulation

Office of Financial Regulation

Department of Veterans' Affairs

Department of Highway Safety and Motor Vehicles

Department of Law Enforcement

Department of Revenue

**Administration Commission** 

Florida Land and Water Adjudicatory Commission

Board of Trustees of the Internal Improvement Trust Fund

Department of Environmental Protection

DATE AND TIME: November 10, 2015, 8:30 a.m.

PLACE: Florida Atlantic University, John D. MacArthur Campus, Student Resource Building, 5353 Parkside Drive, Jupiter, FL 33458

GENERAL SUBJECT MATTER TO BE CONSIDERED: Regular scheduled meeting of the Governor and Cabinet to act on all executive branch matters provided by law and to act on any agendas submitted for their consideration. The Governor and Cabinet will proceed through each agenda, item by item.

The State Board of Administration will take action on matters duly presented on its agenda, which may include such matters as Executive Director's reports; approval of fiscal sufficiency of state bond issues; approval of sale of local bonds at an interest rate in excess of statutory interest rate limitation; reports on investment performance; designation of banks as depositories for state funds; adoption of rules and regulations; investment of state funds pursuant to Chapter 215, F.S.; and consideration of other matters within its authority pursuant to Chapters 215 and 344, F.S., and Section 16 of Article IX of the Florida Constitution of 1885, as continued by subsection 9(c) of Article XII of the Florida Constitution of 1968.

The Division of Bond Finance of the State Board of Administration will take action on matters duly presented on its agenda, which will deal with the issuance of State bonds, arbitrage compliance and related matters.

The Financial Services Commission will take action on matters duly presented on its agenda which may include, but not be limited to; matters relating to rulemaking for all activities of the Office of Insurance Regulation concerning insurers and other risk bearing entities, including licensing, rates, policy forms, market conduct, claims, adjusters, issuance of certificates of authority, solvency, viatical settlements, premium financing, and administrative supervision, as provided under the Insurance Code or Chapter 636, F.S., and matters related to rulemaking for all activities of the Office of Financial Regulation relating to the regulation of banks, credit unions, other financial institutions, finance companies, retail installment sales providers, title loan lenders, collection agencies, mortgage brokers, mortgage lenders, certified capital companies, money services businesses, and the securities industry.

The Department of Veterans' Affairs will take action on matters duly presented on its agenda which may include the administration of the Department as well as actions taken to further the Department's mission of providing assistance to veterans and their dependents, pursuant to Section 292.05, F.S. The Department of Highway Safety and Motor Vehicles will take action on matters duly presented on its agenda, which may include such matters as approval of agency policies, taking agency action with regard to administrative procedure matters, and considering other matters within its authority pursuant to Florida Statutes.

The Department of Law Enforcement will take action on matters duly presented on its agenda which may include but not be limited to such matters as transfer of agency funds or positions, formulation of Departmental Rules, administrative procedure matters, submittal of reports as required, enter into contracts as authorized and to consider other matters within its authority pursuant to Chapters 20, 23, 120 and 943, F.S.

The Department of Revenue will act on matters duly presented on its agenda which may include approval of rules, legislative concept proposals, contracts over \$100,000, Departmental budgets, administrative procedure matters, and consideration of other matters within its authority.

The Administration Commission will take action on matters duly presented on its agenda which may include such matters as to create or transfer agency funds or positions, approve Career Service rules, administrative procedure matters, environmental matters arising under Chapter 380, F.S., comprehensive planning issues pursuant to Section 163.3184, F.S., determine sheriffs' budget matters, and consider other matters within its authority pursuant to various statutes including Chapters 110, 215 and 216, F.S.

The Florida Land and Water Adjudicatory Commission will take action on matters duly presented on its agenda including appeals of local government development orders in areas of critical state concern or of developments of regional impact under Section 380.07, F.S.; and review of water management matters under Chapter 373, F.S. The Commission will also review Department of Environmental Protection's rules and orders which, prior to July 1, 1993, the Governor and Cabinet, sitting as the head of the Department of Natural Resources, had authority to issue or promulgate.

The Board of Trustees of the Internal Improvement Trust Fund will take action on matters for which it is responsible pursuant to law (including duties pursuant to Title 18 of the Florida Statutes and Title 18 of the Florida Administrative Code) and that are duly presented on its agenda, which may include such matters as aquacultural issues as presented by the Division of Aquaculture in the Department of Agriculture and Consumer Services; mineral leases or sales; state or sovereign land leases, sales, exchanges, dedications, and easements; conservation and preservation lands and other land purchases; land planning matters and other matters within its authority.

The Department of Environmental Protection will present for consideration those matters required by law to be reviewed by the Governor and Cabinet, sitting as the Siting Board, which may include, but are not limited to siting of power plants and electric and natural gas transmission lines.

A copy of any of the above agendas submitted to the Governor and Cabinet for this meeting may be obtained by viewing the website of the Governor and Cabinet at http://www.myflorida.com/myflorida/cabinet/ or by contacting each individual agency.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this meeting is asked to provide at least 48 hours' notification before the meeting by contacting the Governor's Cabinet Affairs Office, (850)488-5152.

CABINET AIDES BRIEFING: On the Wednesday of the week prior to the above meeting, there will be a meeting of the aides to the Governor and Cabinet Members at 9:00 a.m., Cabinet Meeting Room, Lower Level, The Capitol, Tallahassee.

#### REGIONAL PLANNING COUNCILS

Northeast Florida Regional Planning Council

The Northeast Florida Regional Planning Council announces a public meeting to which all persons are invited.

DATE AND TIME: Tuesday, November 10, 2015, 10:00 a.m. PLACE: 6850 Belfort Oaks Place, Jacksonville, FL 32216

GENERAL SUBJECT MATTER TO BE CONSIDERED: Local Emergency Planning Committee, District IV regular quarterly meeting.

A copy of the agenda may be obtained by contacting: Eric Anderson at (904)279-0880 or eanderson@nefrc.org.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 5 days before the workshop/meeting by contacting: (904)279-0880. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

#### REGIONAL PLANNING COUNCILS

Tampa Bay Regional Planning Council

The Tampa Bay Regional Planning Council announces a public meeting to which all persons are invited.

DATE AND TIME: November 9, 2015, 10:00 a.m.

PLACE: 4000 Gateway Centre Blvd., Suite 100, Pinellas Park, Florida, 33782

GENERAL SUBJECT MATTER TO BE CONSIDERED: To conduct the regular business of the Tampa Bay Regional Planning Council.

A copy of the agenda may be obtained by contacting: Wren Krahl, wren@tbrpc.org.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 4 days before the workshop/meeting by contacting: Wren Krahl, wren@tbrpc.org. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

For more information, you may contact: Wren Krahl, wren@tbrpc.org.

#### REGIONAL PLANNING COUNCILS

Tampa Bay Regional Planning Council

The Tampa Bay Regional Planning Council announces a public meeting to which all persons are invited.

DATE AND TIME: November 9, 2015, 10:00 a.m.

PLACE: 4000 Gateway Centre Blvd., Suite 100, Pinellas Park, Florida. 33782

GENERAL SUBJECT MATTER TO BE CONSIDERED: To conduct the regular business of the Tampa Bay Regional Planning Council.

A copy of the agenda may be obtained by contacting: Wren Krahl, wren@tbrpc.org.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 4 days before the workshop/meeting by contacting: Wren Krahl, wren@tbrpc.org. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

For more information, you may contact: Wren Krahl, wren@tbrpc.org.

#### REGIONAL PLANNING COUNCILS

Tampa Bay Regional Planning Council

The Tampa Bay Regional Planning Council announces a public meeting to which all persons are invited.

DATE AND TIME: November 9, 2015, 12:00 Noon

PLACE: 4000 Gateway Centre Blvd. Suite 100, Pinellas Park, Florida 33782

GENERAL SUBJECT MATTER TO BE CONSIDERED: To discuss the Florida Regional Councils Association's Path Forward recommendations.

A copy of the agenda may be obtained by contacting: Wren Krahl, wren@tbrpc.org.

For more information, you may contact: Wren Krahl, wren@tbrpc.org.

### REGIONAL PLANNING COUNCILS

Tampa Bay Regional Planning Council

The Tampa Bay Regional Planning Council LEPC announces a public meeting to which all persons are invited.

DATE AND TIME: November 18, 2015, 10:30 a.m.

PLACE: 4000 Gateway Centre Blvd., Suite 100, Pinellas Park, Florida, 33782

GENERAL SUBJECT MATTER TO BE CONSIDERED: To conduct the regular business of the LEPC.

A copy of the agenda may be obtained by contacting: John Meyer, johnm@tbrpc.org.

For more information, you may contact: John Meyer, johnm@tbrpc.org.

#### REGIONAL PLANNING COUNCILS

Tampa Bay Regional Planning Council

The Tampa Bay Regional Planning Council One Bay Livable Communities announces a public meeting to which all persons are invited.

DATE AND TIME: December 4, 2015, 9:30 a.m.

PLACE: 4000 Gateway Centre Blvd. Suite 100, Pinellas Park, Florida 33782

GENERAL SUBJECT MATTER TO BE CONSIDERED: To conduct the regular business of the One Bay Livable Communities Working Group.

A copy of the agenda may be obtained by contacting: Maya Burke, maya@tbrpc.org.

For more information, you may contact: Maya Burke, maya@tbrpc.org.

#### REGIONAL PLANNING COUNCILS

Tampa Bay Regional Planning Council

The Tampa Bay Regional Planning Council Agency on Bay Management announces a public meeting to which all persons are invited.

DATE AND TIME: December 10, 2015, 9:00 a.m.

PLACE: 4000 Gateway Centre Blvd., Suite 100, Pinellas Park, Florida 33782

GENERAL SUBJECT MATTER TO BE CONSIDERED: To conduct the regular business of the Agency on Bay Management.

A copy of the agenda may be obtained by contacting: Maya Burke, maya@tbrpc.org.

For more information, you may contact: Maya Burke, maya@tbrpc.org.

#### REGIONAL PLANNING COUNCILS

Tampa Bay Regional Planning Council

The Tampa Bay Regional Planning Council Annual Meeting announces a public meeting to which all persons are invited.

DATE AND TIME: December 14, 2015, 10:00 a.m.

PLACE: 4000 Gateway Centre Blvd., Suite 100, Pinellas Park, Florida 33782

GENERAL SUBJECT MATTER TO BE CONSIDERED: To conduct the regular business of the Tampa Bay Regional Planning Council.

A copy of the agenda may be obtained by contacting: Wren Krahl, wren@tbrpc.org.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 4 days before the workshop/meeting by contacting: Wren Krahl. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

For more information, you may contact: Wren Krahl, wren@tbrpc.org.

#### REGIONAL PLANNING COUNCILS

South Florida Regional Planning Council

The South Florida Regional Planning Council announces a public meeting to which all persons are invited.

DATE AND TIME: Monday, November 2, 2015, 9:00 a.m.

PLACE: Florida Department of Transportation, 3400 W. Commercial Boulevard, Fort Lauderdale, FL 33309

GENERAL SUBJECT MATTER TO BE CONSIDERED: To support the reduction of our nation's dependence on imported oil by discussing and promoting the region's use of alternative fuels and alternative fuel vehicles through the Southeast Florida Clean Cities Coalition.

A copy of the agenda may be obtained by contacting: the South Florida Regional Planning Council.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 1 day before the workshop/meeting by contacting: the South Florida Regional Planning Council. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

If any person decides to appeal any decision made by the Board with respect to any matter considered at this meeting or hearing, he/she will need to ensure that a verbatim record of the proceeding is made, which record includes the testimony and evidence from which the appeal is to be issued.

For more information, you may contact: Christine Heshmati at (954)985-4416 or cheshmati@sfrpc.com.

#### WATER MANAGEMENT DISTRICTS

St. Johns River Water Management District

The Harris Chain of Lakes Restoration Council announces a public meeting to which all persons are invited.

DATE AND TIME: Friday, November 6, 2015, 9:00 a.m.

PLACE: Lake County Administration Building, Second Floor, County Commission Meeting Room, 315 West Main Street, Tavares, FL 32778

GENERAL SUBJECT MATTER TO BE CONSIDERED: Discussion, review and evaluation of various issues and topics having specific reference to the Harris Chain of Lakes in Lake County

A copy of the agenda may be obtained by contacting: the St. Johns River Water Management District, Attn: Susan Davis, 4049 Reid Street, Palatka, FL 32177, sdavis@sjrwmd.com, (407)659-4838 or by visiting the Council's website at harrischainoflakescouncil.com.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 48 hours before the workshop/meeting by contacting: the District Clerk at (386)329-4500. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

#### WATER MANAGEMENT DISTRICTS

South Florida Water Management District

The South Florida Water Management District announces a public meeting to which all persons are invited.

DATE AND TIME: Thursday, November 5, 2015, 9:00 a.m. PLACE: District Headquarters, B-1 Building, 3301 Gun Club Road, West Palm Beach, FL 33406

GENERAL SUBJECT MATTER TO BE CONSIDERED: A Public Meeting of the Water Resources Advisory Commission (WRAC) regarding water resources protection, water supply and flood protection issues. The public is advised that it is possible that one or more members of the Governing Board of the South Florida Water Management District may attend and participate in this meeting.

A copy of the agenda may be obtained by contacting: Tia Barnett, (561)682-6286 or tbarnett@sfwmd.gov.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 5 days before the workshop/meeting by contacting: Brenda Low, (561)682-6805. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

For more information, you may contact: Tia Barnett, (561)682-6286.

#### DEPARTMENT OF ELDER AFFAIRS

The Department of Elder Affairs Advisory Council announces a telephone conference call to which all persons are invited.

DATE AND TIME: Wednesday, October 28, 2015, 10:00 a.m., EDT

PLACE: Telephone conference call: 1(888)670-3525, conference code: 962-076-0613

GENERAL SUBJECT MATTER TO BE CONSIDERED: Election of Advisory Council Chair.

A copy of the agenda may be obtained by contacting: Alec Yarger at yargera@elderaffairs.org or (850)414-2000.

For more information, you may contact: Alec Yarger at yargera@elderaffairs.org or (850)414-2000.

#### AGENCY FOR HEALTH CARE ADMINISTRATION

Health Facility and Agency Licensing

The Agency for Health Care Administration announces a workshop to which all persons are invited.

DATE AND TIME: November 17, 2015, 3:00 p.m. – 5:00 p.m.

PLACE: The Agency for Health Care Administration, 2727 Mahan Drive, Building 3 Conference Room A, Tallahassee, FL 32308

GENERAL SUBJECT MATTER TO BE CONSIDERED: We would like to invite all LMH ALF operator/administrators to our 2-hour Assisted Living Facility Crisis Prevention Intervention training. There is no cost to attend the training.

A copy of the agenda may be obtained by contacting: Jessica Grace, (850)412-4314 or jessica.grace@ahca.myflorida.com.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 5 days before the workshop/meeting by contacting: Jessica Grace (850)412-4314 or jessica.grace@ahca.myflorida.com. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

For more information, you may contact: Jessica Grace, (850)412-4314 or jessica.grace@ahca.myflorida.com.

#### DEPARTMENT OF MANAGEMENT SERVICES

Division of Purchasing

The Department of Management Services announces a public meeting to which all persons are invited.

DATE AND TIME: November 3, 2015, 10:00 a.m., ET

PLACE: 4075 Esplanade Way, Betty Easley Conference Center, Room 152, Tallahassee, FL 32399

GENERAL SUBJECT MATTER TO BE CONSIDERED: Public Meeting for negotiators to discuss best and final offers for DMS-14/15-011 Human Resources Outsourcing - People First and recommendation for award.

There is no dial-in number available for this meeting. All members of the public are welcome to attend at the time and place noted in the notice.

A copy of the agenda may be obtained by contacting: Tammy Davis, tammy.davis@dms.myflorida.com

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 5 days before the workshop/meeting by contacting: the ADA Coordinator, (850)488-0439. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

For more information, you may contact: Tammy Davis. Any changes to the schedule for this meeting will be posted on the Department's Vendor Bid System (VBS). It is the responsibility of anyone interested in the meeting to check the VBS for updates.

#### DEPARTMENT OF ENVIRONMENTAL PROTECTION

The Department of Environmental Protection, Water Quality Assessment Program, announces a public meeting to which all persons are invited.

DATE AND TIME: Wednesday, November 4, 2015, 1:00 p.m. -3:00 p.m.

PLACE: Webinar:

https://attendee.gotowebinar.com/register/2117140795351638 786

GENERAL SUBJECT MATTER TO BE CONSIDERED: This is a meeting of the Salinity Network Workgroup to discuss the status of products of the Salinity Network Workgroup and the development of a Coastal Salinity Network Workgroup.

A copy of the agenda may be obtained by contacting: Ms. Lisa Van Houdt, Watershed Monitoring Section, Florida Department of Environmental Protection, 2600 Blair Stone Road, MS 3525, Tallahassee, Florida 32399, Lisa.VanHoudt@dep.state.fl.us.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 5 days before the workshop/meeting by contacting: Ms. Lisa Van Houdt at (850)245-8815 or Lisa.VanHoudt@dep.state.fl.us. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

#### DEPARTMENT OF HEALTH

The Council on Certified Nursing Assistants announces a telephone conference call to which all persons are invited.

DATE AND TIME: November 10, 2015, 3:00 p.m., ET

PLACE: Department of Health, Tallahassee, telephone conference: 1(888)670-3525, passcode: 9908086106 #

GENERAL SUBJECT MATTER TO BE CONSIDERED: Proposed changes to the CNA Program Application.

A copy of the agenda may be obtained by contacting: Joe Baker, Jr., Executive Director, 4052 Bald Cypress Way, Bin #C02, Tallahassee, FL 32399-3257.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 48 hours before the workshop/meeting by contacting: the Board at (850)245-4125. If you are hearing or speech impaired, please contact the agency using the Florida

Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

If any person decides to appeal any decision made by the Board with respect to any matter considered at this meeting or hearing, he/she will need to ensure that a verbatim record of the proceeding is made, which record includes the testimony and evidence from which the appeal is to be issued.

#### DEPARTMENT OF HEALTH

Board of Massage Therapy

The Board of Massage Therapy announces a telephone conference call to which all persons are invited.

DATE AND TIME: November 9, 2015, 9:00 a.m., EST

PLACE: Telephone conference: 1(888)670-3525, participant passcode: 4284364155

GENERAL SUBJECT MATTER TO BE CONSIDERED: General business of the board. Meetings may be cancelled prior to the meeting date. Please check the Board website: www.floridasmassagetherapy.gov for cancellations or changes to meeting dates or times.

A copy of the agenda may be obtained by contacting: Alexandra Alday at Alexandra.Alday@flhealth.gov or (850)245-4161.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 10 days before the workshop/meeting by contacting: Alexandra Alday at Alexandra. Alday@flhealth.gov or (850)245-4161. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

If any person decides to appeal any decision made by the Board with respect to any matter considered at this meeting or hearing, he/she will need to ensure that a verbatim record of the proceeding is made, which record includes the testimony and evidence from which the appeal is to be issued.

For more information, you may contact: Alexandra Alday at Alexandra.Alday@flhealth.gov or (850)245-4161.

#### DEPARTMENT OF HEALTH

Board of Physical Therapy Practice

The Board of Physical Therapy Practice announces a public meeting to which all persons are invited.

DATES AND TIME: February 16, 2016; April 19, 2016; June 21, 2016; August 16, 2016; October 18, 2016; December 13, 2016, 11:30 a.m., ET each day

PLACE: Conference call: 1(888)670-3525, when prompted, insert participant code: 7811783909 followed by the # sign

GENERAL SUBJECT MATTER TO BE CONSIDERED: Probable Cause Panel Meeting. The meeting or portion of a probable cause panel meeting is public only if a case or cases are public by reason of reconsideration.

A copy of the agenda may be obtained by contacting: The Board of Physical Therapy Practice, 4052 Bald Cypress Way, BIN C-05, Tallahassee, FL 32399-3255, by visiting our website: www.floridasphysicaltherapy.gov or by calling the board office at (850)245-4373, ext. 3481.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 48 hours before the workshop/meeting by contacting: the Department of Health at (850)245-4444, ext. 3418. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

If any person decides to appeal any decision made by the Board with respect to any matter considered at this meeting or hearing, he/she will need to ensure that a verbatim record of the proceeding is made, which record includes the testimony and evidence from which the appeal is to be issued.

#### DEPARTMENT OF HEALTH

Board of Podiatric Medicine

The Board of Podiatric Medicine announces a telephone conference call to which all persons are invited.

DATE AND TIME: Wednesday, December 9, 2015, 12:00 Noon

PLACE: Florida Department of Health, 4042 Bald Cypress Way, Tallahassee, Florida 32399, telephone conference: 1(888)670-3525, participant code: 7342425515

GENERAL SUBJECT MATTER TO BE CONSIDERED: Discussion of Rules 64B18-14.002 and 64B18-14.010, Florida Administrative Code.

A copy of the agenda may be obtained by contacting: Edith Rogers at edith.rogers@flhealth.gov or by visiting the Board's website: http://floridaspodiatricmedicine.gov/meeting-information/.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 7 days before the workshop/meeting by contacting: Edith Rogers at edith.rogers@flhealth.gov. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

If any person decides to appeal any decision made by the Board with respect to any matter considered at this meeting or hearing, he/she will need to ensure that a verbatim record of the proceeding is made, which record includes the testimony and evidence from which the appeal is to be issued.

For more information, you may contact: Edith Rogers at edith.rogers@flhealth.gov.

#### DEPARTMENT OF HEALTH

Division of Environmental Health

The Florida Department of Health announces a public meeting to which all persons are invited.

DATE AND TIME: November 4, 2015, 9:30 a.m. - 2:00 p.m., ET

PLACE: Reedy Creek Improvement District Admin. Building, Third Floor, 1900 Hotel Plaza Blvd., Lake Buena Vista, FL 32830

GENERAL SUBJECT MATTER TO BE CONSIDERED: This bimonthly meeting of the Public Swimming Pool and Bathing Place Advisory Review Board is for the Board to recommend agency action on variance application requests. You are requested to park in the AAU's adjacent lot immediately east of this RCID address.

A copy of the agenda may be obtained by contacting: Mr. August Ursin; Florida Department of Health, Bureau of Environmental Health, 4052 Bald Cypress Way, Mail Bin A08, Tallahassee, FL 32399-1710, august.ursin@flhealth.gov or (850)245-4444, ext. 2716.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 3 days before the workshop/meeting by contacting: Mr. Ursin, contact information is listed above. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

For more information, you may contact: Mr. Ursin, contact information is listed above.

## FISH AND WILDLIFE CONSERVATION COMMISSION Division of Law Enforcement

Division of Law Enforcement

The Wildlife Alert Reward Association, Inc. announces a public meeting to which all persons are invited.

DATE AND TIME: November 9, 2015, 2:00 p.m.

PLACE: FWC Headquarters, Bryant Building Room 329, 620 South Meridian Street, Tallahassee, FL 32399

GENERAL SUBJECT MATTER TO BE CONSIDERED: The Board of Directors of the Wildlife Alert Reward Association will conduct administrative business of the Association.

A copy of the agenda may be obtained by contacting: Ms. Sara Burke, 620 South Meridian Street, Tallahassee, FL 32399, (850)617-9595.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 5 days before the workshop/meeting by contacting: the ADA Coordinator at (850)488-6411. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

For more information, you may contact: Ms. Sara Burke, 620 South Meridian Street, Tallahassee, FL 32399, (850)617-9595.

#### THE VALERIN GROUP, INC.

The Florida Department of Transportation (FDOT) announces a public meeting to which all persons are invited.

DATE AND TIME: Tuesday, November 10, 2015, 5:00 p.m. – 7:00 p.m.

PLACE: The Vine Church, 1003 S. John Young Parkway, Kissimmee, FL 34741

GENERAL SUBJECT MATTER TO BE CONSIDERED: A public information meeting is being held about the capacity improvements to State Road 600 (US 17/92, South John Young Parkway/South Orange Blossom Trail) in Kissimmee, Osceola County. Improvements being proposed as part of this design project are the widening of State Road 600 from four to six lanes for approximately 2.4 miles from south of Pleasant Hill Road to West Portage Street, and the addition of a two-way flyover with one lane in each direction between the east leg of SR 600 and Pleasant Hill Road. Right-of-Way acquisition and construction costs are currently not funded.

The information meeting will be held on Tuesday, November 10, 2015, at the Vine Church, 1003 S. John Young Parkway, Kissimmee, Florida 34741. The meeting will be an open house format beginning at 5:00 p.m., where participants may review project documents and displays, ask questions and discuss the project with staff. The meeting ends at 7:00 p.m.

Participants may submit written public comments at this public information meeting or by mail to: Kevin Moss, P.E., FDOT Project Manager, 719 South Woodland Boulevard, DeLand, Florida 32720, no later than November 20, 2015. All written comments will become part of the project's public record. All materials presented at the meeting will also be available on the FDOT website: www.cflroads.com.

Public participation is solicited without regard to race, color, national origin, age, sex, religion, disability or family status. Persons wishing to express their concerns relative to FDOT compliance with Title VI may do so by contacting Jennifer Smith, FDOT District Five Title VI Coordinator, at (386)943-5367 or Jennifer.Smith2@dot.state.fl.us.

A copy of the agenda may be obtained by contacting: there is no agenda.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 7 days before the workshop/meeting by contacting: Jennifer Smith, FDOT District Five Title VI Coordinator at (386)943-5367 or Jennifer.Smith2@dot.state.fl.us at least seven (7) days prior to the meeting. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

For more information, you may contact: Kevin Moss, P.E., FDOT Project Manager, at (386)943-5255 or kevin.moss@dot.state.fl.us.

# Section VII Notice of Petitions and Dispositions Regarding Declaratory Statements

DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

Construction Industry Licensing Board

NOTICE IS HEREBY GIVEN that on October 13, 2015, the Construction Industry Licensing Board received a Petition for Declaratory Statement from Donnie S. Herron. The petitioner seeks a declaratory statement as to how much, if there is a percentage, a roofing contractor is allowed to change under a roofing license. Except for good cause shown, motions for leave to intervene must be filed within 21 days after publication of this notice. Copies of the Petition may be obtained from Dan Biggins, Executive Director, Construction Industry Licensing Board, 1940 North Monroe Street, Tallahassee, FL 32399, (850)487-1395 or by email: Amanda.Wynn@myfloridalicense.com.

# Section VIII Notice of Petitions and Dispositions Regarding the Validity of Rules

Notice of Petition for Administrative Determination has been filled with the Division of Administrative Hearings on the following rules:

### **NONE**

Notice of Disposition of Petition for Administrative Determination has been filled with the Division of Administrative Hearings on the following rules:

#### **NONE**

# Section IX Notice of Petitions and Dispositions Regarding Non-rule Policy Challenges

### **NONE**

# Section X Announcements and Objection Reports of the Joint Adminstrative Procedures Committee

#### **NONE**

# Section XI Notices Regarding Bids, Proposals and Purchasing

DEPARTMENT OF ENVIRONMENTAL PROTECTION
Development of a Comprehensive Resource Inventory and
Management System

NOTICE OF Request for Proposal: On behalf of the Florida Department of Environmental Protection the Procurement Office is soliciting formal, competitive, sealed replies for bid number 2016023C, Development of a Comprehensive Resource Inventory and Management System.

The Department will post notice of any changes or additional meeting(s) on the Vendor Bid System (VBS) in accordance with Section 287.042(3), Florida Statutes, and will not readvertise any notice in the Florida Administrative Register (FAR). Access the VBS at: http://www.myflorida.com/apps/vbs/vbs\_www.main\_menu.

#### FLORIDA HOUSING FINANCE CORPORATION

Request for Applications 2015-111 – Housing Credit Financing

for the Preservation of Existing Affordable Multifamily Housing Developments

Under this Request for Applications (RFA), Florida Housing Finance Corporation (the Corporation) expects to offer an estimated \$5,901,631 of Housing Credits to qualified Applicants that commit to preserve existing affordable multifamily housing developments for the demographic categories of Families, the Elderly and Persons with a Disability.

Applications shall be accepted until 11:00 a.m., Eastern Time, on Friday, December 4, 2015, to the attention of Ken Reecy, Florida Housing Finance Corporation, 227 North Bronough

Street, Suite 5000, Tallahassee, Florida 32301-1329. For questions or additional information, please contact Ken Reecy at Ken.Reecy@floridahousing.org or as otherwise directed in the RFA. This Request for Applications, which outlines selection criteria and Applicant's responsibilities, can be downloaded from the Florida Housing Finance Corporation website.

http://www.floridahousing.org/Developers/MultiFamilyPrograms/Competitive/2015-111/.

Any modifications that occur to the Request for Applications will be posted at the website and may result in an extension of the deadline. It is the responsibility of the Applicant to check the website for any modifications prior to the deadline date.

## Section XII Miscellaneous

DEPARTMENT OF HIGHWAY SAFETY AND MOTOR VEHICLES

Division of Motor Vehicles

Motorcycle Enthusiasts, Inc. for the establishment of SANY motorcycles

Notice of Publication for a New Point Franchise Motor Vehicle Dealer in a County of Less than 300,000 Population

Pursuant to Section 320.642, Florida Statutes, notice is given that Alliance-Sym, Inc., intends to allow the establishment of Motorcycle Enthusiasts, Inc., as a dealership for the sale of motorcycles manufactured by Sanyang Industry Co., Ltd. (line-make SANY) at 5138 Commercial Way, Springhill, (Hernando County), Florida 34606, on or after November 30, 2015.

The name and address of the dealer operator(s) and principal investor(s) of Motorcycle Enthusiasts, Inc., are dealer operator(s): David Bernard, 5138 Commercial Way, Spring Hill, Florida 34606, principal investor(s): David Bernard, 5138 Commercial Way, Spring Hill, Florida 34606.

The notice indicates intent to establish the new point location in a county of less than 300,000 population, according to the latest population estimates of the University of Florida, Bureau of Economic and Business Research.

Certain dealerships of the same line-make may have standing, pursuant to Section 320.642, Florida Statutes, to file a petition or complaint protesting the application.

Written petitions or complaints must be received by the Department of Highway Safety and Motor Vehicles within 30 days of the date of publication of this notice and must be submitted to: Nalini Vinayak, Administrator, Dealer License Section, Department of Highway Safety and Motor Vehicles,

Room A-312, MS 65, Neil Kirkman Building, 2900 Apalachee Parkway, Tallahassee, Florida 32399-0635.

A copy of such petition or complaint must also be sent by US Mail to: Gene Chang, Alliance-Sym, Inc., 3788 Milliken Avenue, Suite C, Mira Loma, California 91752.

If no petitions or complaints are received within 30 days of the date of publication, a final order will be issued by the Department of Highway Safety and Motor Vehicles approving the establishment of the dealership, subject to the applicant's compliance with the provisions of Chapter 320, Florida Statutes.

#### WATER MANAGEMENT DISTRICTS

Suwannee River Water Management District

Notice of Publication of Annual Regulatory Plan NOTICE IS HEREBY GIVEN that on October 26, 2015, the Suwannee River Water Management District (District) has published its 2015-2016 Annual Regulatory Plan (plan). The plan can be accessed on the District's website at: http://www.mysuwanneeriver.com/RegulatoryPlans.

A copy of the plan may also be obtained by contacting: Tilda Musgrove, Business Resource Specialist, Suwannee River Water Management District, 9225 CR 49, Live Oak, FL 32060, (386)362-1001 or 1(800)226-1066 in Florida only.

# Section XIII Index to Rules Filed During Preceeding Week

NOTE: The above section will be published on Tuesday beginning October 2, 2012, unless Monday is a holiday, then it will be published on Wednesday of that week.

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